

# Logical Predicates

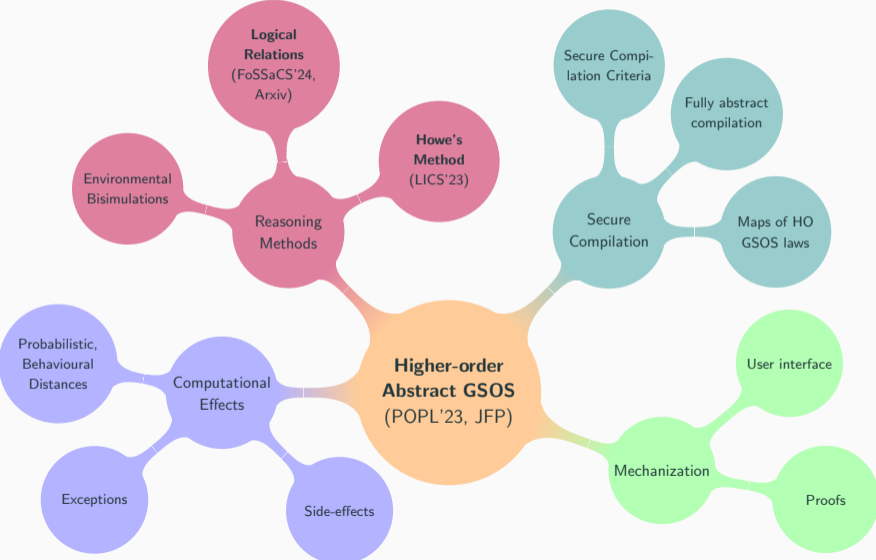
in Higher-order Mathematical Operational Semantics

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# Higher-Order Mathematical Operational Semantics (or HO Abstract GSOS)



# The setting of Logical Predicates

1. An operational semantics of a higher-order language
  - Typically a typed  $\lambda$ -calculus.
  - Write  $\Lambda_\tau(\Gamma)$  for the set  $\{t \mid \Gamma \vdash t : \tau\}$  and  $\Lambda_\tau$  for the set  $\{t \mid \emptyset \vdash t : \tau\}$ .

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2. A (type-indexed) predicate  $P \rightsquigarrow \Lambda$ , that can't be proven inductively
  - Family  $(P_\tau \subseteq \Lambda_\tau)_{\tau \in \mathbb{T}_y}$
  - Strong normalization, type safety etc.

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4. Proceed by induction to prove that (the open extension of)  $\Box P$  holds.

## Definition (A standard logical predicate)

$$\text{SN}_{\text{unit}}(t) = \Downarrow_{\text{unit}}(t)$$

$$\text{SN}_{\tau_1 \rightarrow \tau_2}(t) = \Downarrow_{\tau_1 \rightarrow \tau_2}(t) \wedge (\forall s: \tau_1. \text{SN}_{\tau_1}(s) \implies \text{SN}_{\tau_2}(t \cdot s))$$

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## Definition (Open extension of SN)

$$\begin{aligned} \vec{\text{SN}}_{\tau}(t)(\Gamma) &= \text{For any closed substitution } (\emptyset \vdash e_n: \Gamma(n))_{n \in |\Gamma|} \\ &\quad \text{such that } \forall n \in |\Gamma|. \text{SN}_{\Gamma(n)}(e_n), \text{ then } \text{SN}_{\tau}(t[e_n/x_n]) \end{aligned}$$



## Strong Normalization

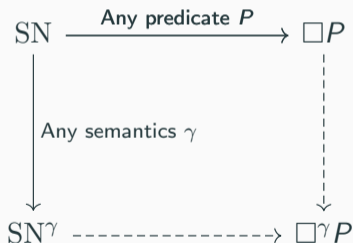
One annoying case of the proof is that of  $\lambda$ -abstraction  $\Gamma \vdash \lambda x: \tau_1. t: \tau_1 \rightarrow \tau_2$ .

Given a substitution  $(\emptyset \vdash e_n: \Gamma(n))_{n \in |\Gamma|}$  satisfying SN, we have to:

- Push the substitution inside the  $\lambda$ -abstraction, try to prove that the whole term is in SN, for that reason consider what happens when we have terms such as  $(\lambda x: \tau_1. t') \cdot s$  with  $\text{SN}_{\tau_1}(s)$  for the substituted  $t'$ , think back to what happens during  $\beta$ -reduction, reflect on properties of substitution etc.

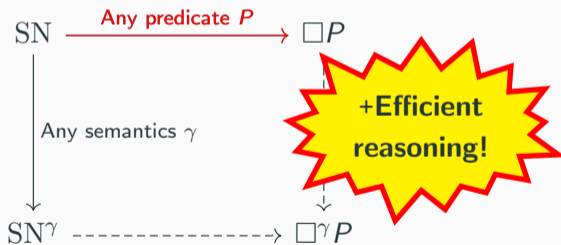
Complex language  $\implies$  complex argument...

I will argue for two directions of abstraction, via  
**Higher-order Abstract GSOS**



## The goal of this talk

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## Dissecting the logical predicate (1)

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Idea : Abstract away from the predicate  $\Downarrow$

## Dissecting the logical predicate (2)

$$\Box P_{\text{unit}}(t) = P_{\text{unit}}(t)$$

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greatest subset of  $\wedge_{\tau_1 \rightarrow \tau_2}$

$$\Box P_{\text{unit}}(t) = P_{\text{unit}}(t)$$

$$\Box P_{\tau_1 \rightarrow \tau_2}(t) \implies P_{\tau_1 \rightarrow \tau_2}(t) \wedge \begin{cases} \Box P_{\tau_1 \rightarrow \tau_2}(t') & \text{if } t \rightarrow t' \\ \Box P_{\tau_1}(s) \implies \Box P_{\tau_2}(t') & \text{if } t \xrightarrow{s} t' \end{cases}$$

## Induction up to $\Box$ on STLC

### Theorem

Let  $P \rightsquigarrow \Lambda$  be any predicate on closed terms. Then  $P$  is true if all of the following are true:

1. the unit expression  $e: \text{unit}$  satisfies  $\Box_{\text{unit}} P$   $P_{\text{unit}}$ ,
2. for all closed application terms  $t s$  such that  $\Box_{\tau_1 \rightarrow \tau_2} P(t)$  and  $\Box_{\tau_1} P(s)$ , we have  $\Box_{\tau_2} P(t s)$   $P_{\tau_2}(t s)$ , and
3. for all  $\lambda$ -abstractions  $\lambda x: \tau_1. t: \tau_1 \rightarrow \tau_2$ , such that  $\lambda x: \tau_1. t$  is in the open extension of  $\Box P$  and given a substitution  $\vec{e}$  that satisfies  $\Box P$ ,  $(\lambda x: \tau_1. t)[\vec{e}/\vec{x}]$ , we have that  $(\lambda x: \tau_1. t)[\vec{e}/\vec{x}]$  is in  $\Box P$ ,  $P$ .

### Proof.

Instantiate Th. 36 with  $(\text{Th36}.P)_\tau(\emptyset) = P_\tau$  and  $(\text{Th36}.P)_\tau(\Gamma \neq \emptyset) = \top$ .  $\square$

# Let's try this out!

## Proving strong normalization for STLC

1.  $\Downarrow_{\text{unit}} (e)$ ;
2.  $\Downarrow_{\tau_2} (t s)$  with  $\Box_{\tau_1 \rightarrow \tau_2} \Downarrow (t)$  and  $\Box_{\tau_1} \Downarrow (s)$ ;
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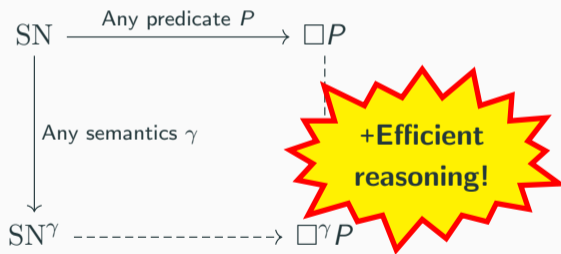
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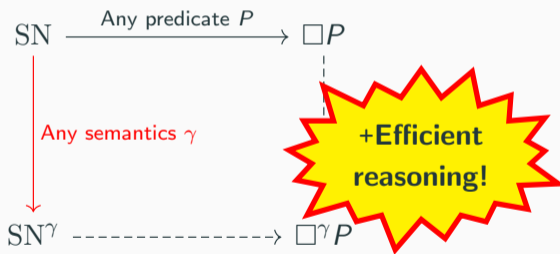
### Proof.

(1) and (3) are trivial, (2) is straightforward once you realize that  $\Box Q$  is an **invariant** w.r.t.  $\rightarrow$  for all  $Q$ . □

Let's explore the other direction



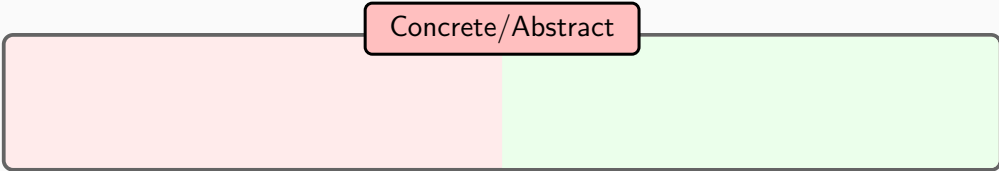
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1. An operational semantics of a higher-order language is given.

Concrete/Abstract



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- Generic predicate transformer  
 $\square\gamma, \bar{B}: \text{Pred}_{\mu\Sigma}(\mathcal{C}) \rightarrow \text{Pred}_{\mu\Sigma}(\mathcal{C})$

## (Vanilla) Logical Predicates proof method in the abstract

Assuming the following:

1. An initial algebra (object of terms)  $\Sigma\mu\Sigma \xrightarrow{\iota} \mu\Sigma$ ,
2. an “operational semantics” morphism  $\mu\Sigma \rightarrow B(\mu\Sigma, \mu\Sigma)$  for some bifunctor  $B: \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{C}$ ,
3. and logical predicates  $\Box(-)$ ,

the proof method of logical predicates amount to the following:

### Fundamental Property

As initial algebras have no proper subalgebras, then

$$\bar{\Sigma}(\Box P) \leq \iota^*[\Box P] \implies \Box P \cong \mu\Sigma \implies P \cong \mu\Sigma.$$



$$B(X, Y) : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{C} \quad \gamma : \mu\Sigma \rightarrow B(\mu\Sigma, \mu\Sigma)$$

$$B(X, Y) = Y + Y^X \quad \gamma(t) = t' \text{ if } t \rightarrow t' \text{ and } \gamma(\lambda x.M) = (e \mapsto M[e/x])$$

## Categorical machinery

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For example,  $\bar{B}(P, Q) \subseteq \mu\Sigma + \mu\Sigma^{\mu\Sigma}$  is the disjoint union of (i) the set  $\{t \mid Q(t)\}$  and (ii) the set of functions  $f \in \mu\Sigma^{\mu\Sigma}$  that map inputs in  $P$  to outputs in  $Q$ .

## Relative invariant

Let  $c: Y \rightarrow B(X, Y)$  be a  $B(X, -)$ -coalgebra. Given predicates  $S \rightsquigarrow X$ ,  $P \rightsquigarrow Y$ , we say that  $P$  is an  $S$ -relative  $(\overline{B})$ -invariant (for  $c$ ) if

$$P \leq c^*[\overline{B}(S, P)].$$

## Logical Predicate

A predicate  $P \rightsquigarrow \mu\Sigma$  is logical (for  $\gamma$ ) if it is a  $P$ -relative  $\overline{B}$ -invariant.

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2. For all  $s$ , if  $t \xrightarrow{s} t'$  and  $P(s)$ , then  $P(t')$ .

# One logical predicate to rule them all

## The $\Box$

Under certain conditions, the most important being that the predicate lifting  $\bar{B}$  is **predicate-contractive**, for every predicate  $P \multimap X$  on the state space of our coalgebra  $X \rightarrow B(X, X)$  (i.e. a program property), there exists a certain “large” predicate  $\Box P$  such that:

1.  $\Box P \leq P$
2.  $\Box P \leq c^*[\bar{B}(\Box P, \Box P)]$  (i.e.  $\Box P$  is logical)
3.  $\Box P$  is the largest  $\Box P$ -relative invariant.



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**Conclusion/translation:** The lifting being defined inductively on types is sufficient for the existence of this magical, suitable logical predicate.

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Note: *Things are a bit more complex in languages with binding and substitution due to contractivity considerations, but the principle is the same.*

## Induction up to $\Box$

The definition of logicality and  $\Box$  systematizes the logical predicates proof method, but where is the “efficient reasoning”?

### Induction up to $\Box$

For a certain class of **higher-order GSOS laws**, instead of laboriously showing  $\bar{\Sigma}(\Box P) \leq \iota^*[\Box P]$ , it suffices to show the much simpler  $\bar{\Sigma}(\Box P) \leq \iota^*[P]$ .

Note: *Things are a bit more complex in languages with binding and substitution due to contractivity considerations, but the principle is the same. This explains the need to extend the predicate to open terms.*

### Induction up to $\Box$

For a certain class of  $\lambda$ -**laws**, instead of laboriously showing  $\bar{\Sigma}(\Box P) \leq \iota^*[\Box P]$ , it suffices to show the much simpler  $\bar{\Sigma}(\Box P) \leq \iota^*[P]$ .

Thank you!