# Poly: an abundant categorical setting for mode-dependent dynamics

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## <span id="page-1-0"></span>**Outline**

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<span id="page-2-0"></span>Since a young age, I thought that math could help me think about reality.

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Shocking plot twist:

- $\blacksquare$  These two worlds converge in **Poly**.
- $\blacksquare$  I only have time to talk about dynamics today.

# <span id="page-6-0"></span>Plan for today

Today's plan:

- Recall some basics of  $Poly;$
- Discuss how Poly models dynamical systems;
- Conclude with a brief summary.

### <span id="page-7-0"></span>**Outline**

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**3** [From Moore machines to mode-dependence](#page-17-0)

#### **4 [Conclusion](#page-35-0)**

## <span id="page-8-0"></span>Poly for experts

What I'll call the category **Poly** has many names.

- $\blacksquare$  The free completely distributive category on one object;
- **The full subcategory of [Set, Set] spanned by functors that preserve** connected limits;
- $\blacksquare$  The full subcategory of  $[Set, Set]$  spanned by coproducts of repr'bles;

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- **The full subcategory of [Set, Set] spanned by coproducts of repr'bles;**
- The "generalized lens category" associated to the canonical self-indexing  $\mathsf{Set}/-$ :  $\mathsf{Set}^{\mathsf{op}} \to \mathsf{Cat}$  of  $\mathsf{Set}$ ;
- The category of containers (in the sense of Michael Abbott).

But let's make this easier.

## What is a polynomial?



# The category of polynomials

Easiest description:  $Poly =$  "sums of representables functors  $Set \rightarrow Set$ ".

- For any set  $S$ , let  $y^{\mathcal{S}} \coloneqq \mathsf{Set}(S,-)$ , the functor *represented* by  $S.$
- Def: a polynomial is a sum  $p = \sum_{i \in I} y^{p_i}$  of representable functors.
- Def: a morphism of polynomials is a natural transformation.
- In Poly,  $+$  is coproduct and  $\times$  is product.

### **Notation**

We said that a polynomial is a sum of representable functors

$$
p \cong \sum_{i \in I} y^{p_i}.
$$

But note that  $I \cong \sum_{i \in I} 1 = \sum_{i \in I} 1^{p_i} = p(1).$  So we can write

$$
\rho \cong \sum_{i \in p(1)} y^{p_i}.
$$

#### Bimorphic lenses are monomials

A bimorphic lens (Hedges) between set-pairs  $(S_1, T_1)$  and  $(S_2, T_2)$  is:

<span id="page-13-0"></span>
$$
S_1 \xrightarrow{get} S_2
$$
  

$$
S_1 \times T_2 \xrightarrow{put} T_1
$$
 (1)

Let Lens denote the cat'y with set-pairs as objects and lenses as morphisms.

There is an equivalence of categories Lens  $\cong$  Poly  $_{monomials}$ .

■ Send 
$$
(S, T) \mapsto Sy^T
$$
.

Note,  $\text{Poly}(S_1y^{T_1}, S_2y^{T_2}) \cong \prod_{s \in S_1} S_2 \times T_1^{T_2}$ , elements are as in [\(1\)](#page-13-0).

So we can think of **Poly** as a generalized lens category.

#### <span id="page-14-0"></span>Four interacting monoidal structures

We've seen two monoidal structures on **Poly**  $(+, \times)$ ; there are two more.

■ Dirichlet product  $\otimes$ ; unit is y.

Let 
$$
p = \sum_{i \in p(1)} y^{p_i}
$$
 and  $q = \sum_{j \in q(1)} y^{q_j}$ ; compare:

$$
p \times q \cong \sum_{i \in p(1)} \sum_{j \in q(1)} y^{p_i + q_j} \quad \text{and} \quad p \otimes q \cong \sum_{i \in p(1)} \sum_{j \in q(1)} y^{p_i q_j}.
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$$

■ Composition product  $\circ$ ; unit is  $y$ .

- **Just compose the functors**; it's usual polynomial composition.
- **■** This monoidal structure is non-symmetric,  $p \circ q \not\cong q \circ p$ .
- If it is a very interesting monoidal structure, as we'll see.

# Composition monoidal structure (Poly,  $\circ$ ,  $y$ )

Let p,  $q \in \text{Poly}$  be polynomials. The formula for  $p \circ q$  is

$$
p \circ q \cong \sum_{i \in p(1)} \prod_{d \in p_i} \sum_{j \in q(1)} \prod_{e \in q_j} y.
$$

Later we'll think about  $p^{\circ n}$ :

$$
\rho^{\circ n}\cong\sum_{i_1\in\rho(1)}\prod_{d_1\in\rho_{i_1}}\sum_{i_2\in\rho(1)}\prod_{d_2\in\rho_{i_2}}\cdots\sum_{i_n\in\rho(1)}\prod_{d_n\in\rho_{i_n}}y
$$

It's a length-n strategy: a choice of  $i<sub>1</sub>$ , and for every  $d<sub>1</sub>$ , a choice of  $i<sub>2</sub>$ , etc.

## <span id="page-17-0"></span>**Outline**

#### **11** [Introduction](#page-1-0)

#### **2** [Brief introduction to Poly](#page-7-0)

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- **[Interacting Moore machines](#page-18-0)**
- **[Mode-dependence](#page-27-0)**
- **[Behavior via comonoids](#page-30-0)**

#### **[Conclusion](#page-35-0)**

## <span id="page-18-0"></span>Moore machines

#### **Definition**

Given sets  $A, B$ , an  $(A, B)$ -Moore machine consists of:

- $\blacksquare$  a set S, elements of which are called states,
- **a** a function  $r: S \rightarrow B$ , called *readout*, and
- **a** a function  $u: S \times A \rightarrow S$ , called *update*.

It is *initialized* if it is equipped also with

**n** an element  $s_0 \in S$ , called the *initial state*.

We refer to A as the *input set*, B as the *output set*, and  $(A, B)$  as the interface of the Moore machine.

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Dynamics: an  $(A, B)$ -Moore machine  $(S, r, u, s_0)$  is a "stream transducer":

- Given a list/stream  $[a_0, a_1, \ldots]$  of A's...
- let  $s_{n+1} := u(s_n, a_n)$  and  $b_n := r(s_n)$ .
- We thus have obtained a list/stream  $[b_0, b_1, \ldots]$  of  $B$ 's.

#### Moore machines as lenses

We can see Moore machines in terms of lenses / polynomials.

- An uninitialized Moore machine  $r: S \rightarrow B$  and  $u: S \times A \rightarrow S$  is:
	- A lens  $(S, S) \rightarrow (B, A)$ , i.e....
	- A map of polynomials  $S\mathcal{y}^{\mathcal{S}}\rightarrow\mathcal{B}\mathcal{y}^{\mathcal{A}}$ .
- An initialized Moore machine also has a map  $1 \rightarrow S$ , so it is:
	- A composable pair of lenses  $(1,1) \rightarrow (S, S) \rightarrow (B, A)$ , i.e....

a composable pair of polynomial maps  $y\rightarrow Sy^S\rightarrow By^A.$ 

## Depicting Moore machine interfaces

Here's how we depict interfaces  $(A, B)$  for Moore machines:

$$
A - \begin{array}{|c|c|} \hline \quad & B \\ \hline \quad & C \end{array}
$$

If, e.g.  $A = A_1 \times A_2$  and  $B = B_1 \times B_2 \times B_3$ , we will instead draw:

$$
\begin{array}{c}\nA_1 \\
A_2 \\
\end{array}
$$

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$$
\begin{array}{c}\nA_1 \\
A_2\n\end{array}\n\begin{array}{ccc}\n\phantom{a} & B_1 \\
\phantom{a} & B_2 \\
\phantom{a} & B_3\n\end{array}
$$

In  ${\sf Poly}$  these two interfaces are denoted  $By^{\mathcal{A}}$  and  $B_1B_2B_3y^{A_1A_2}.$ 

# Wiring diagrams

Here's a picture of a wiring diagram:



It includes three interfaces: Controller, Plant, and System.

$$
Controller = By^C \qquad \text{Plant} = Cy^{AB} \qquad \text{System} = Cy^A
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The wiring diagram represents a lens Controller  $\otimes$  Plant  $\rightarrow$  System.

$$
By^C \otimes Cy^{AB} \longrightarrow Cy^A
$$

#### Moore machines and wiring diagrams as lenses



To summarize what we've said so far:

A wiring diagram (WD) is a lens, e.g.  $By^\mathsf{C} \otimes \mathsf{C}y^{AB} \longrightarrow \mathsf{C}y^A$ . Each Moore machine is a lens, e.g.  ${Sy}^{\mathcal{S}} \to {By}^{\mathcal{C}}$  and  ${Ty}^{\mathcal{T}} \to {Cy}^{AB}.$ 

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A wiring diagram (WD) is a lens, e.g.  $By^\mathsf{C} \otimes \mathsf{C}y^{AB} \longrightarrow \mathsf{C}y^A$ . Each Moore machine is a lens, e.g.  ${Sy}^{\mathcal{S}} \to {By}^{\mathcal{C}}$  and  ${Ty}^{\mathcal{T}} \to {Cy}^{AB}.$ 

We can tensor the Moore machines and compose to obtain  ${ST}{y}^{\textstyle{S T}} \to {C}{y}^{\textstyle{A}}$ .

- So a wiring diagram is a formula for combining Moore machines.
- The whole story is lenses, through and through.

## <span id="page-27-0"></span>Poly and mode-dependent dynamics

All of the above on Moore machines and WDs took place in  $\text{Poly}_{monomial}$ .

- An arbitrary polynomial  $p$  is a sum of monomials.
- A Moore machine is a map  $Sy^{\mathcal{S}}\rightarrow By^{\mathcal{A}}.$
- Generalized Moore machine: a map  $\mathcal{S}y^{\mathcal{S}} \to p$  with  $p \in \mathsf{Poly}$  arbitrary.
- We can think of this as *mode-dependence*:
	- The position—and hence directions—depends on the state.
	- Roughly, the input-output type can change based on state.

$$
A - \text{(in state 1)} \qquad B \qquad \qquad C - \text{(in state 2)} \qquad F - F \text{(in state 3)} \qquad F - F \text{(in state 4)} \qquad F - F \text{(in state 5)} \qquad F - F \text{(in state 6)} \qquad F - F \text{(in state 6)} \qquad F - F \text{(in state 7)} \qquad F - F \text{(in state 7)} \qquad F - F \text{(in state 8)} \qquad F - F \text{(in state 9)} \qquad F - F \text{(in state 1)} \qquad F - F \text{(in state 2)} \qquad F - F \text{(in state 3)} \qquad F - F \text{(in state 4)} \qquad F - F \text{(in state 5)} \qquad F - F \text{(in state 6)} \qquad F - F \text{(in state 6)} \qquad F - F \text{(in state 7)} \qquad F - F \text{(in state 7)} \qquad F - F \text{(in state 7)} \qquad F - F \text{(in state 8)} \qquad F - F \text{(in state 1)} \qquad F - F \text{(in state 2)} \qquad F - F \text{(in state 1)} \qquad F - F \text{(in state 1)} \qquad F - F \text{(in state 2)} \qquad F - F \text{(in state 1)} \
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$$
A - \left(\text{in state 1}\right) - B \qquad C - \left(\text{in state 2}\right) - \frac{E}{F}
$$

I'll discuss mode dependence for wiring diagrams by example.

## Example



This whole picture represents one morphism in **Poly**.

- $\blacksquare$  Let's suppose the company chooses who it wires to; this is its mode.
- **Then both suppliers have interface**  $wy$ **.**
- Company interface is  $2y^w$ : two modes, each of which is w-input.
- The outer box is just  $y$ , i.e. a closed system.

So the picture represents a map  $wy \otimes wy \otimes 2y^w \rightarrow y$ .

- That's a map 2 $w^2y^w \to y$ .
- Equivalently, it's a function  $2w^2 \to w$ . Take it to be evaluation.
- In other words, the company's choice determines which  $w$  it receives.

# <span id="page-30-0"></span>Comonoids in  $(Poly, \circ, y)$

For Moore machines—usual or generalized—what makes  $\textit{Sy}^{\textit{S}}\rightarrow p$  tick?

- We wrote some recursive formula for the "stream transducer".
- But it turns out that what we were seeing is really about comonoids.
- **■** Comonoid:  $k \in \text{Poly}$ ,  $\delta: k \to k \circ k$ ,  $\epsilon: k \to y$ , usual laws.
- A comonoid in (Poly,  $\circ$ , y) could be called a *polynomial comonad*.
	- $Sy^{\mathcal{S}}$  has the structure of a comonad, the "store comonad".

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How does it work?

## Cofree comonoids and terminal coalgebras

The forgetful functor **Comon(Poly)**  $\rightarrow$  **Poly** has a right adjoint, Cofree.

- Let  $\mathcal{K} = (k, \delta, \epsilon)$  be a comonoid in (Poly,  $\circ$ ,  $y$ ).
- Given poly'l map  $k \to p$ , get a comonoid map  $\mathscr{K} \to \mathrm{Cofree}(p)$ .
- $\blacksquare$  The formula for cofree comonoid on p in general is the limit:

$$
1 \longleftarrow y \cdot p(1) \leftarrow y \cdot p(y \cdot p(1)) \leftarrow y \cdot p(y \cdot p(y \cdot p(1))) \leftarrow \cdots
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**Substituting 1 for y we get the usual formula for terminal coalgebra.**  $1 \leftarrow p(1) \leftarrow p(p(1)) \leftarrow p(p(p(1))) \leftarrow \cdots$ 

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Example:

In the case  $p = By^A$ , we have  $\text{Cofree}(p) \cong B^{\text{List}(A)}y^{\text{List}(A)}$ . So  $\mathcal{S}y^{\mathcal{S}}\to B^{\mathsf{List}(A)}y^{\mathsf{List}(A)}$  gives

$$
S \times List(A) \rightarrow B
$$
 and  $S \times List(A) \rightarrow S$ .

Given the initial state  $s_0$ , we get back our stream transducer.

## The amazing world of comonoids in Poly

Comonoids in Poly are amazing.

- Not only are they what make generalized Moore machines tick, but...
- Ahman-Uustalu showed that they're precisely categories!

 $\mathsf{Comon}(\mathsf{Poly}) \cong (\mathsf{Categories}, \mathsf{Cofunctors})$ 

An easy fact is that their coalgebras correspond to copresheaves! Garner showed that bimodules between them correspond to parametric right adjoints between these copresheaf categories!

- All this stuff is relevant for databases and data migration.
- $\blacksquare$  It's also just shockingly cool from a theoretical perspective.

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### Summary

The category **Poly** is exceptionally rich.

- Four interacting monoidal structures, two closures, etc, etc.
- Comonoids  $\mathscr C$  in (Poly,  $\circ$ ,  $y$ ) are categories.
- Discrete left  $\mathscr C$ -comodules are co-presheaves.  $\mathcal{L}_{\mathcal{A}}$
- Bimodules are parametric right adjoints.  $\mathcal{L}_{\mathcal{A}}$

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- Bimodules are parametric right adjoints.

Poly can be used in several different applications.

- Containers in functional programming.
- Generalized lenses (as polynomials generalize monomials).
- Mode-dependent dynamical systems and wiring diagrams.
- Databases and data migration.

Polv provides an expressive notation and calculus for dynamics and data.

Thanks; comments and questions welcome!