

Cyber Kittens

or Some First Steps Towards Categorical Cybernetics

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Background story

Presently a theoretical neuroscientist,
interested in how neurons composed
together generate intelligent behaviour

How can we construct a system that plays the games that we study?

Heuristic definition of cybernetic system

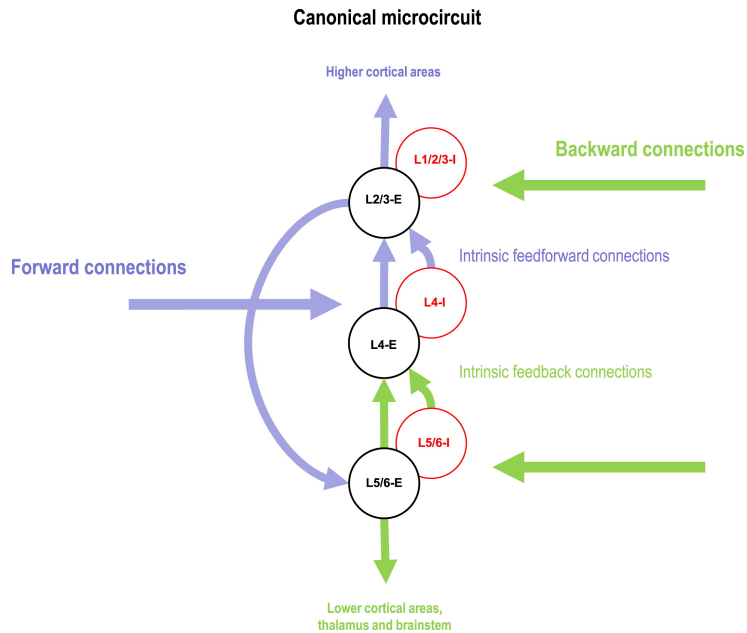
“If it perceives and acts, then it is a cybernetic system”

Typically no access to external state
→ must **infer what's going on,**
and **what should be done**

Inference: on the basis of imperfect signals

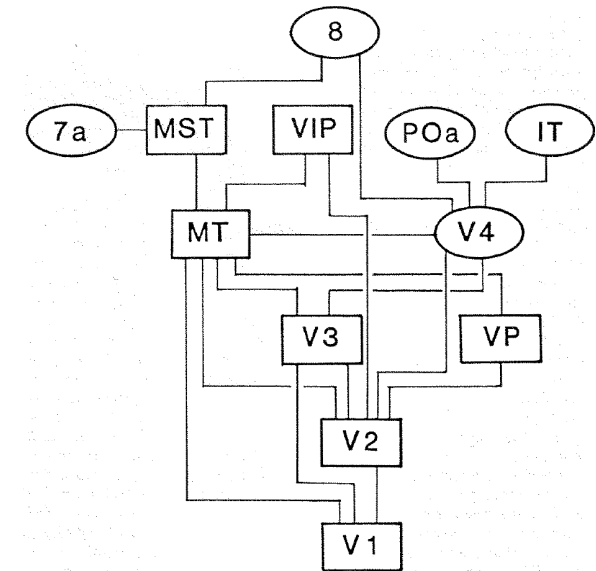
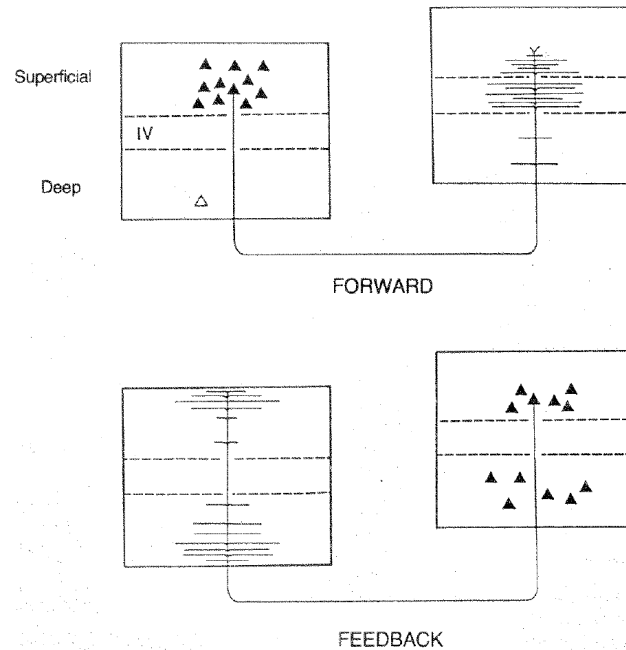
Brain as archetypal cybernetic system

Pervasive cortical structure:
bidirectional circuits



Bastos *et al* (2012)

And 'hierarchically' organized
– like a traced monoidal cat. !



Van Essen & Maunsell (1983)

Can explain both of these features abstractly:

- perceiving and acting mean doing Bayesian inference
- which in turn means embodying a model of the world to be inverted
- the inverse of a composite channel is the composite of the inverses
- so we can invert each factor of the model locally
→ ‘hierarchical’ structure
- and the ‘bidirectional’ structure is precisely the *lens* pattern

Plan:

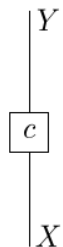
- Introduce: categorical probability, Bayesian inversion (very briefly)
- Prove: Bayesian updates compose according to the *lens* pattern
- Define: a class of *statistical games* using compositional game theory
- Suggest: cybernetic systems are dynamical realisations of statistical games
- Exemplify: variational autoencoders, cortical circuits
- Conclude: interacting / nested systems? non-stationary contexts?

Basic setting: categorical probability

We work in a *Markov* or *copy-delete* category – **canonical example:** $\mathcal{Kl}(\mathcal{D})$

Objects: spaces X, Y

sets X, Y

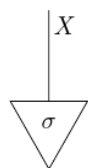


Morphisms: “stochastic channels”

ie. functions from points to ‘beliefs’

$$X \multimap Y$$

$$X \rightarrow \mathcal{D}Y \cong X \times Y \rightarrow [0, 1]$$



States: channels out of the monoidal unit

ie. probability distributions (formal convex sums)

$$I \multimap X$$

$$X \rightarrow [0, 1]$$

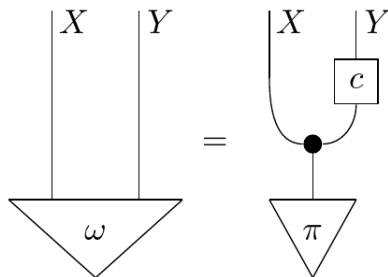
$$\sum_{x:X} \boxed{p(x)} |x\rangle$$

so general channels are like ‘conditional’ probability distributions,
and we adopt the standard notation $p(y|x) := p(x)(y)$

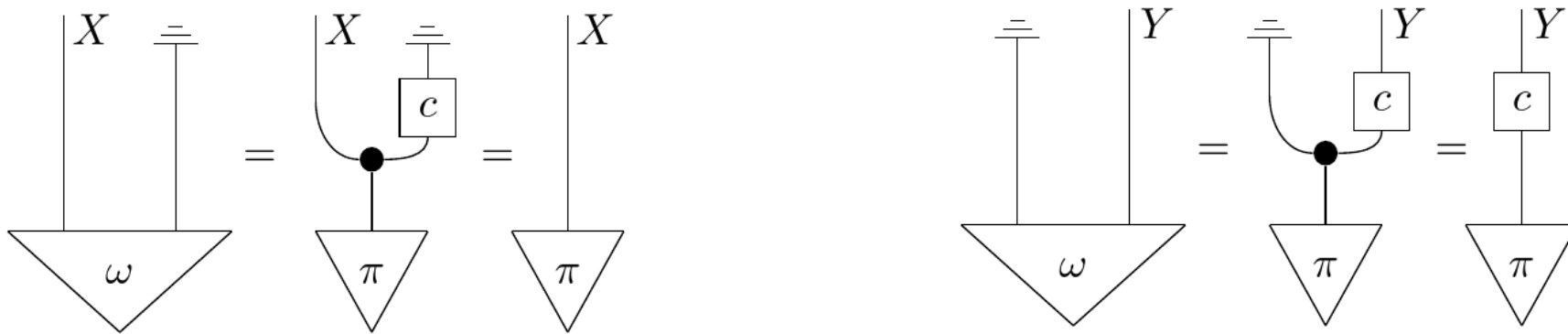
Composition: given $p : X \multimap Y$ and $q : Y \multimap Z$, “average over” Y – for example:

$$q \bullet p : X \rightarrow \mathcal{D}Z := x \mapsto \sum_{z:Z} \boxed{\sum_{y:Y} q(z|y) \cdot p(y|x)} |z\rangle$$

Joint states

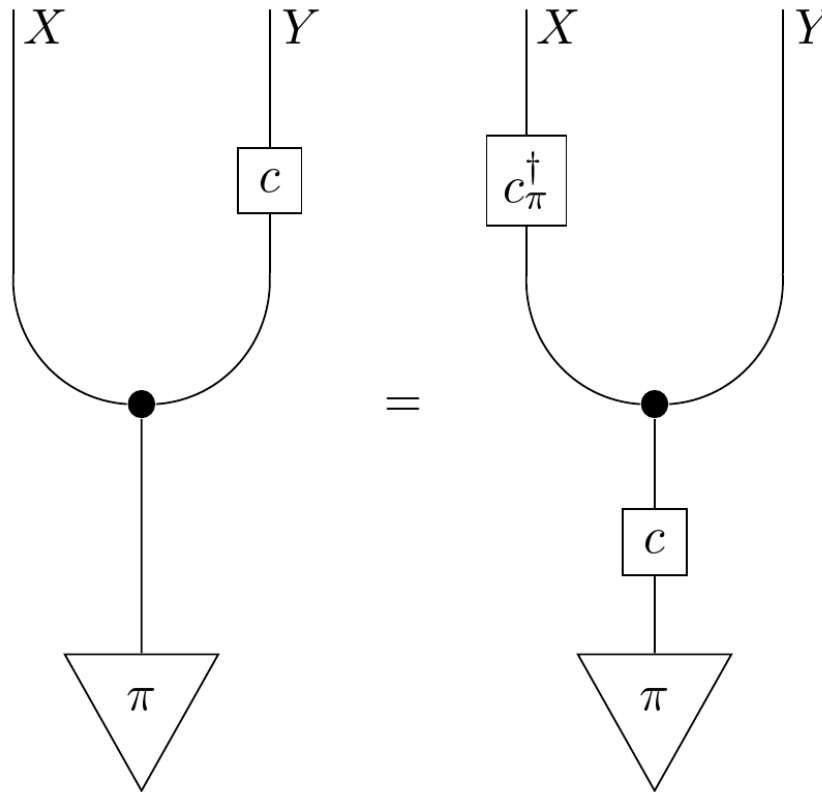


$$P_{\omega}(A, B) = P_c(B|A) \cdot P_{\pi}(A)$$



Bayesian inversion

$$P_c(B|A) \cdot P_\pi(A) = P_{c^\dagger_\pi}(A|B) \cdot P_{c \bullet \pi}(B)$$



NB: The Bayesian inverse of a channel is always defined *with respect to* some “prior” state !

What is c^\dagger ?

An indexed category of state-dependent channels

$$\text{Stat} : \mathcal{Kl}(\mathcal{P})^{\text{op}} \rightarrow \mathbf{V}\text{-Cat}$$

$$X \mapsto \text{Stat}(X) := \left(\begin{array}{lll} \text{Stat}(X)_0 & := & \mathbf{Meas}_0 \\ \text{Stat}(X)(A, B) & := & \mathbf{Meas}(\mathcal{P}X, \mathbf{Meas}(A, \mathcal{P}B)) \\ \text{id}_A : \text{Stat}(X)(A, A) & := & \left\{ \begin{array}{l} \text{id}_A : \mathcal{P}X \rightarrow \mathbf{Meas}(A, \mathcal{P}A) \\ \rho \mapsto \eta_A \end{array} \right. \end{array} \right)$$

$\text{Stat}(X)$ is a category of stochastic channels with respect to states on X

Morphisms $d^\dagger : \mathcal{P}X \rightarrow \mathcal{Kl}(\mathcal{P})(A, B)$ in $\text{Stat}(X)$
are generalized Bayesian inversions:

given a state π on X , obtain a
channel $d_\pi^\dagger : A \rightarrow B$ with respect to π

An indexed category of state-dependent channels

$$\text{Stat} : \mathcal{Kl}(\mathcal{P})^{\text{op}} \rightarrow \mathbf{V}\text{-Cat}$$

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$$c : \mathcal{Kl}(\mathcal{P})(Y, X) \mapsto \left(\begin{array}{lll} \text{Stat}(c) : & \text{Stat}(X) & \rightarrow \text{Stat}(Y) \\ & \text{Stat}(X)_0 & = \text{Stat}(Y)_0 \\ \left(\begin{array}{ll} d^\dagger : \mathcal{P}X \rightarrow \mathcal{Kl}(\mathcal{P})(A, B) \\ \pi \mapsto d_\pi^\dagger \end{array} \right) & \mapsto & \left(\begin{array}{ll} c^* d^\dagger : \mathcal{P}Y \rightarrow \mathcal{Kl}(\mathcal{P})(A, B) \\ \rho \mapsto d_{c \bullet \rho}^\dagger \end{array} \right) \end{array} \right)$$

$\text{Stat}(X)$ is a category of stochastic channels with respect to states on X

Morphisms $d^\dagger : \mathcal{P}X \rightarrow \mathcal{Kl}(\mathcal{P})(A, B)$ in $\text{Stat}(X)$ are generalized Bayesian inversions:

given a state π on X , obtain a channel $d_\pi^\dagger : A \rightarrow B$ with respect to π

Given $c : Y \rightarrow X$ in the base, can pull d^\dagger back along c , obtaining $c^* d^\dagger : \mathcal{P}Y \rightarrow \mathcal{Kl}(\mathcal{P})(A, B)$

This takes $\rho : \mathcal{P}Y$ to $d_{c \bullet \rho}^\dagger : A \rightarrow B$ defined by pushing ρ through c then applying d^\dagger .

But: given $d \bullet c$, what is $(d \bullet c)^\dagger$?

Given $d \bullet c$, what is $(d \bullet c)^\dagger$?

If **Meas** is Cartesian closed (e.g., quasi-Borel spaces), then $d^\dagger : \mathcal{P}A \rightarrow \mathcal{Kl}(\mathcal{P})(B, A)$ is equivalently $\mathcal{P}A \times B \rightarrow \mathcal{P}A$.

Paired with a map $d : B \rightarrow A$, this looks like a **simple lens**: classically, a pair of type $\mathbf{Set}(A, B) \times \mathbf{Set}(A \times B, A)$.

Here, we have $\mathcal{Kl}(\mathcal{P})(A, B) \times \mathbf{Meas}(\mathcal{P}A \times B, \mathcal{P}A)$.

But this is just a hom-set in the Grothendieck construction of the pointwise opposite of Stat!

Let's check this ... and then see how these things compose.

Grothendieck lenses

Definition (\mathbf{GrLens}_F). Let $F : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Cat}$.

Objects $(\mathbf{GrLens}_F)_0$: pairs (C, X) of objects C in \mathcal{C} and X in $F(C)$.

Hom-sets $\mathbf{GrLens}_F((C, X), (C', X'))$: dependent sums

$$\mathbf{GrLens}_F((C, X), (C', X')) = \sum_{f : \mathcal{C}(C, C')} F(C)(F(f)(X'), X)$$

so $(C, X) \rightarrow (C', X')$ is a pair (f, f^\dagger) of $f : \mathcal{C}(C, C')$ and $f^\dagger : F(C)(F(f)(X'), X)$.

Identities: $\text{id}_{(C, X)} = (\text{id}_C, \text{id}_X)$

Composition: suppose $(f, f^\dagger) : (C, X) \rightarrow (C', X')$ and $(g, g^\dagger) : (C', X') \rightarrow (D, Y)$.
Then $(g, g^\dagger) \circ (f, f^\dagger) = (g \bullet f, F(f)(g^\dagger)) : (C, X) \rightarrow (D, Y)$.

When $F = \text{Stat} : \mathcal{Kl}(\mathcal{P})^{\text{op}} \rightarrow \mathbf{Cat}$: $\mathbf{GrLens}_{\text{Stat}}((X, A), (Y, B)) \cong \mathcal{Kl}(\mathcal{P})(X, Y) \times \text{Meas}(\mathcal{P}X, \mathcal{Kl}(\mathcal{P})(B, A))$

Given $(c, c^\dagger) : (X, A) \rightarrow (Y, B)$ and $(d, d^\dagger) : (Y, B) \rightarrow (Z, C)$,
 $(d, d^\dagger) \circ (c, c^\dagger) = ((d \bullet c), (c^\dagger \circ c^* d^\dagger)) : (X, A) \rightarrow (Z, C)$

where $(d \bullet c) : \mathcal{Kl}(\mathcal{P})(X, Z)$ and

where $(c^\dagger \circ c^* d^\dagger) : \text{Meas}(\mathcal{P}X, \mathcal{Kl}(\mathcal{P})(C, A))$ takes $\pi : \mathcal{P}X$ to $c_\pi^\dagger \bullet d_{c \bullet \pi}^\dagger$.

So we seek to show

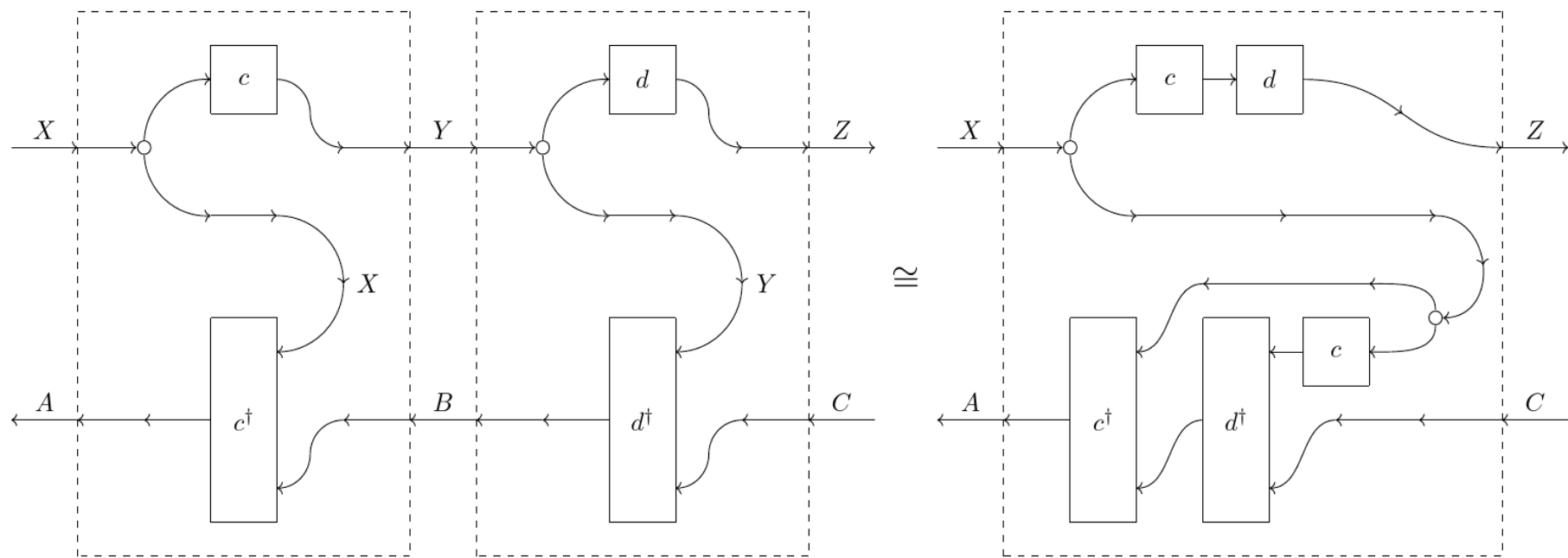
$$(d \bullet c)_\pi^\dagger \simeq c_\pi^\dagger \bullet d_{c \bullet \pi}^\dagger$$

But first ...

An optical interlude

Optics are the contemporary home of compositional game theory

Plus, if our lenses are *optics*, then they acquire suggestive formal depictions:



And, indeed, Bayesian lenses *are* optics ...

An optical interlude

Proposition. $\mathbf{Optic}_{\times, \odot} \left((\hat{X}, \check{A}), (\hat{Y}, \check{B}) \right) \cong \mathbf{GrLens}_{\text{Stat}} \left((X, A), (Y, B) \right)$

Proof: $\mathbf{Optic}_{\times, \odot} \left((\hat{X}, \check{A}), (\hat{Y}, \check{B}) \right) = \int^{\hat{M}: \hat{\mathcal{C}}} \hat{\mathcal{C}}(\hat{X}, \hat{M} \times \hat{Y}) \times \check{\mathcal{C}}(\hat{M} \odot \check{B}, \check{A})$

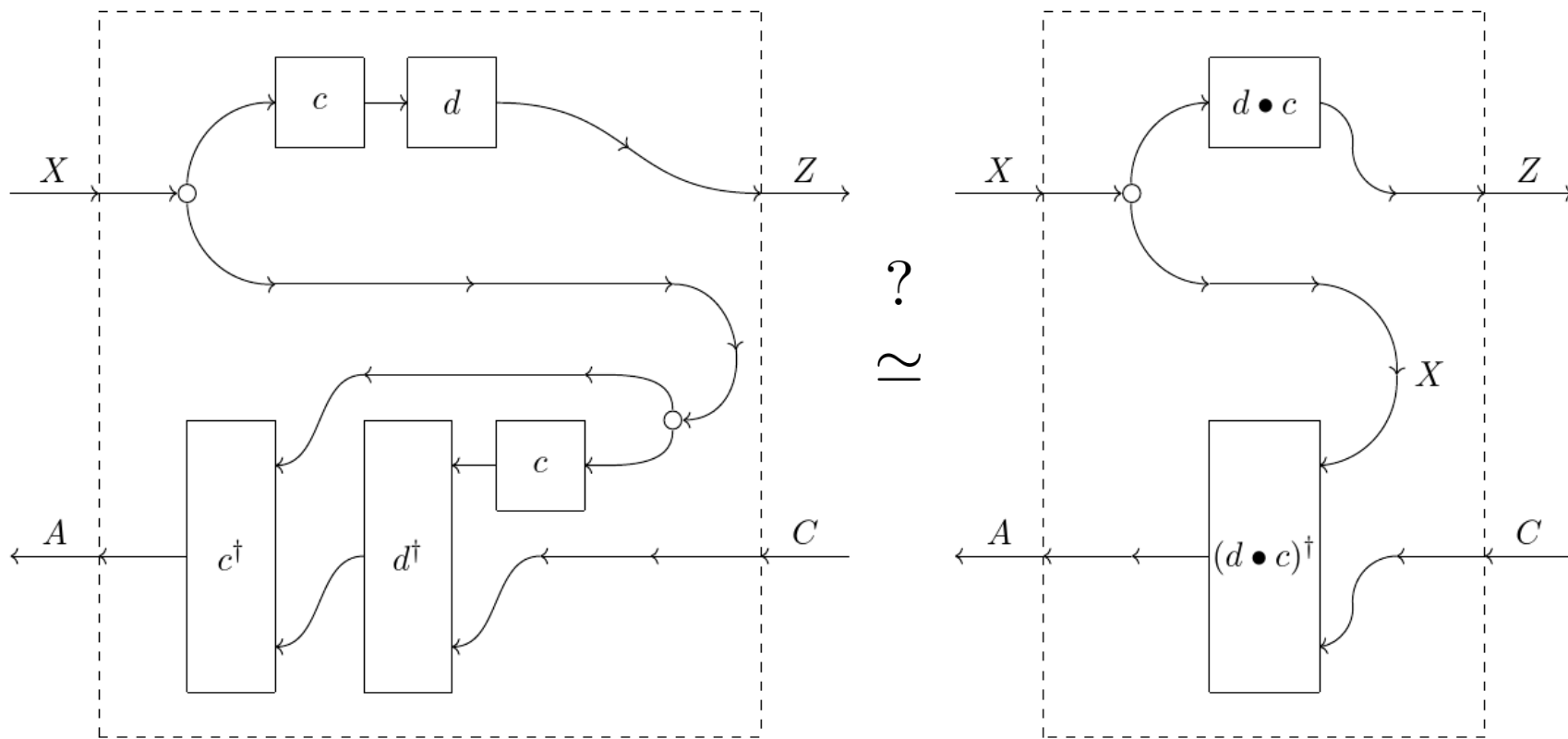
$$\odot : \hat{\mathcal{C}} \rightarrow \mathbf{V}\text{-Cat}(\check{\mathcal{C}}, \check{\mathcal{C}})$$

$$\hat{M} \mapsto \left(\begin{array}{ccc} \hat{M} \odot - & : & \check{\mathcal{C}} \rightarrow \check{\mathcal{C}} \\ & & P \mapsto \mathbf{V}(\hat{M}(I), P) \end{array} \right)$$

$$\begin{aligned} \mathbf{Optic}_{\times, \odot} \left((\hat{X}, \check{A}), (\hat{Y}, \check{B}) \right) &\cong \int^{\hat{M}: \hat{\mathcal{C}}} \hat{\mathcal{C}}(\hat{X}, \hat{Y}) \times \hat{\mathcal{C}}(\hat{X}, \hat{M}) \times \check{\mathcal{C}}(\hat{M} \odot \check{B}, \check{A}) \\ &\cong \int^{\hat{M}: \hat{\mathcal{C}}} \hat{\mathcal{C}}(\hat{X}, \hat{Y}) \times \hat{\mathcal{C}}(\hat{X}, \hat{M}) \times \check{\mathcal{C}} \left(\mathbf{V}(\hat{M}(I), \check{B}), \check{A} \right) \\ &\cong \int^{\hat{M}: \hat{\mathcal{C}}} \mathcal{C}(X, Y) \times \hat{M}(X) \times \mathbf{V} \left(\hat{M}(I), \mathcal{C}(B, A) \right) \\ &\cong \mathbf{GrLens}_{\text{Stat}} \left((X, A), (Y, B) \right) \end{aligned}$$

(And we can define ‘mixed’ Bayesian optics, too!)

Does Bayesian inversion commute with lens composition?

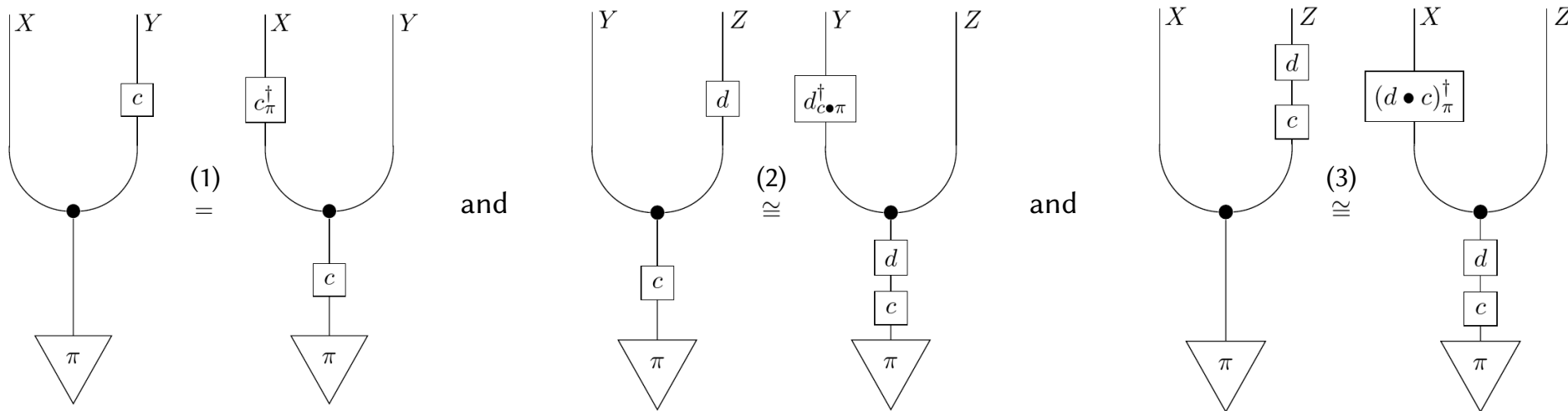


$$\begin{aligned}
 &\text{where } c^\dagger \circ (\text{id}_{\hat{X}} \odot d^\dagger) \circ a_{\hat{X}, \hat{Y}, \hat{X}}^\odot \circ (\text{id}_{\hat{X}} \times c) \circ \gamma \\
 &\cong (c^\dagger_{(-)} \bullet d^\dagger_{c \bullet (-)}) \circ \gamma \\
 &\cong c^\dagger \circ c^* d^\dagger \cong c^\dagger_\pi \bullet d^\dagger_{c \bullet \pi}
 \end{aligned}$$

Does Bayesian inversion commute with lens composition?

Yes! **Lemma** (*Bayesian updates compose optically*). $(d \bullet c)^\dagger_\pi \simeq c^\dagger_\pi \bullet d^\dagger_{c \bullet \pi}$

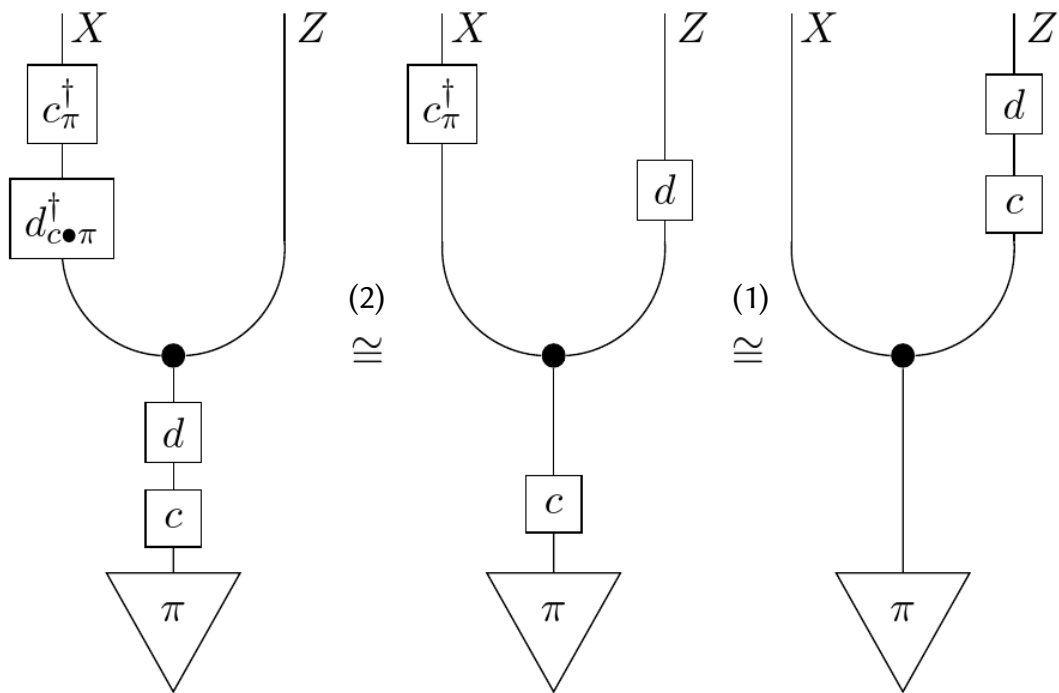
Suppose:



(These relations just define the relevant Bayesian inversions.)

Lemma (*Bayesian updates compose optically*). $(d \bullet c)^\dagger_\pi \simeq c^\dagger_\pi \bullet d^\dagger_{c \bullet \pi}$

Proof:



So $(d \bullet c)^\dagger_\pi$ and $c^\dagger_\pi \bullet d^\dagger_{c \bullet \pi}$ are both Bayesian inversions for $d \bullet c$ with respect to π .

But Bayesian inversions are almost-equal. Hence $(d \bullet c)^\dagger_\pi \simeq c^\dagger_\pi \bullet d^\dagger_{c \bullet \pi}$ □

Back to cybernetics

We will see: *inference problems are games over Bayesian lenses*

Recall: cybernetic system trying to estimate external state,
given complex “generative model”

“In the wild”: system will try to *improve* its estimation

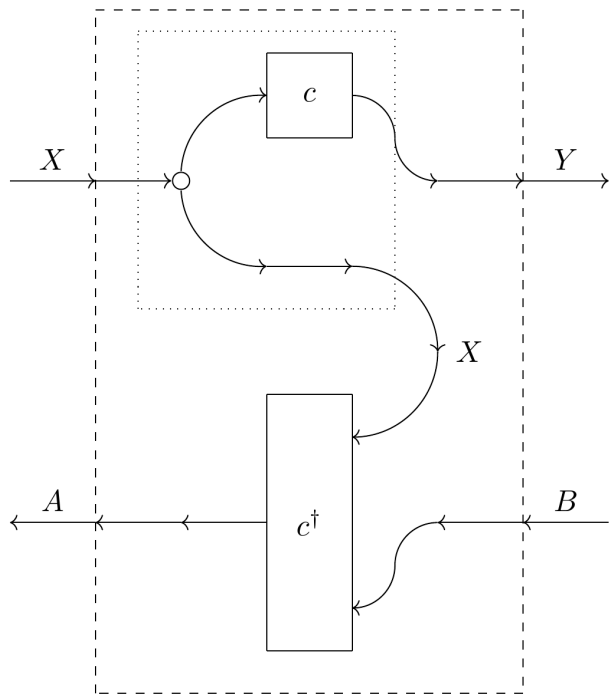
Note: all interactions of a cybernetic system are
mediated through an interface (~ boundary)
– this is all the system has access to

Context := representation of boundary behaviour

First: *yet another graphical calculus ...*
(luckily, one we saw this morning!)

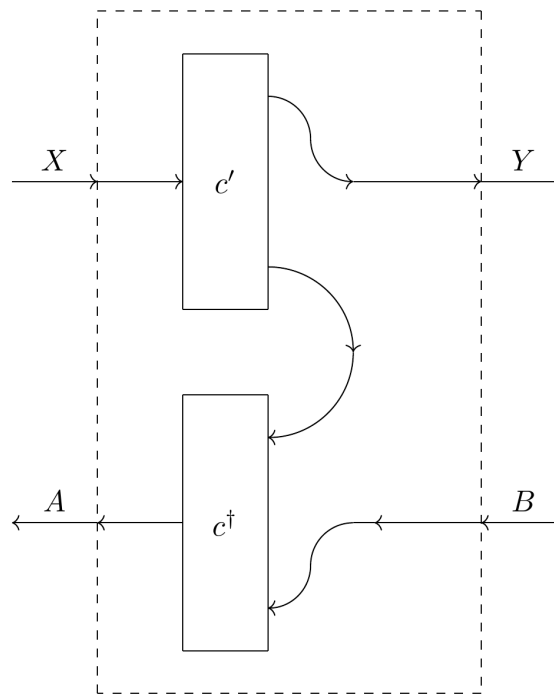
(Cartesian) lenses are optics

Lens



$$\mathcal{C}(X, Y) \times \mathcal{D}(X \odot B, A)$$

Optic

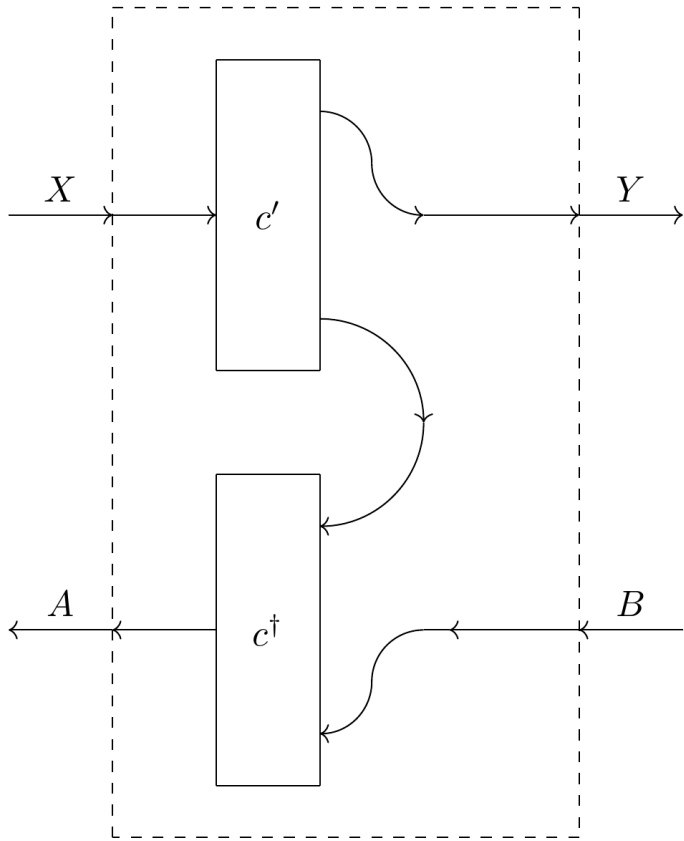

 \cong

$$\mathbf{Optic}_{\times, \odot}((X, A), (Y, B))$$

 \cong

$$\int^{M:c} \mathcal{C}(X, M \times Y) \times \mathcal{D}(M \odot B, A)$$

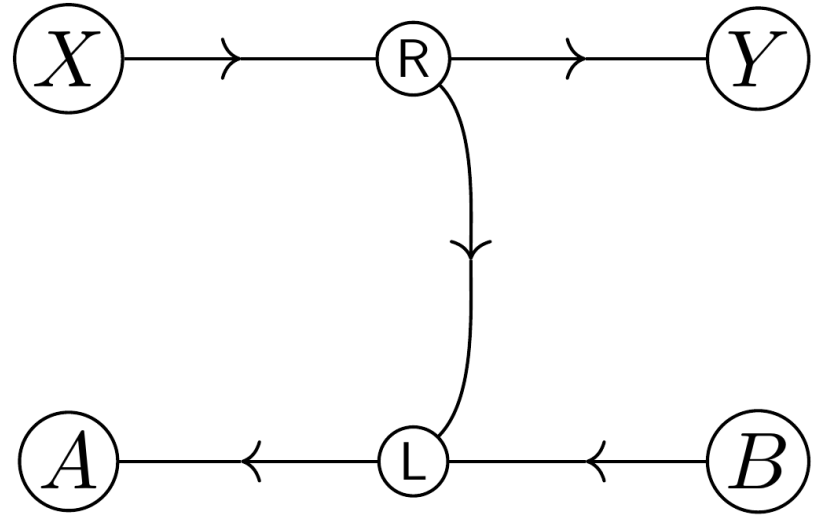
Elements of objects, graphically



$\langle c' \mid c^\dagger \rangle$

“inflate the tubes”

\in



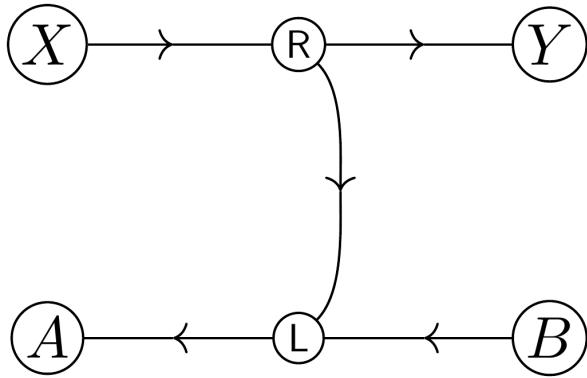
$\mathbf{Optic}_{\otimes, \oplus}((X, A), (Y, B))$

\in

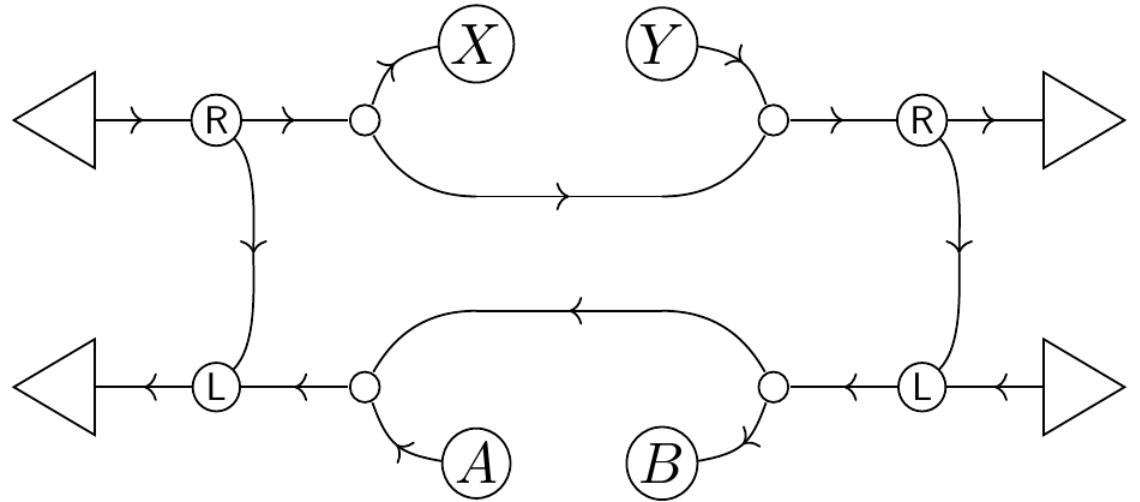
$$\cong \int^{M: \mathcal{M}} \mathcal{C}(X, M \otimes Y) \times \mathcal{D}(M \oplus B, A)$$

Contexts: closed environments “with a hole in them”

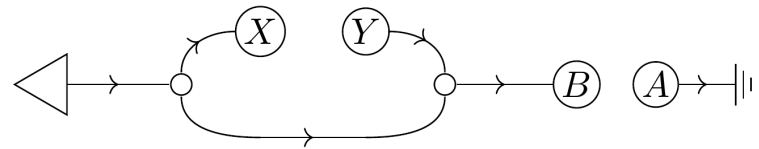
Optic:



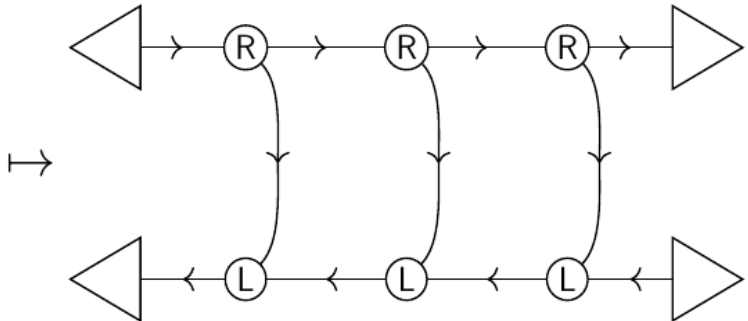
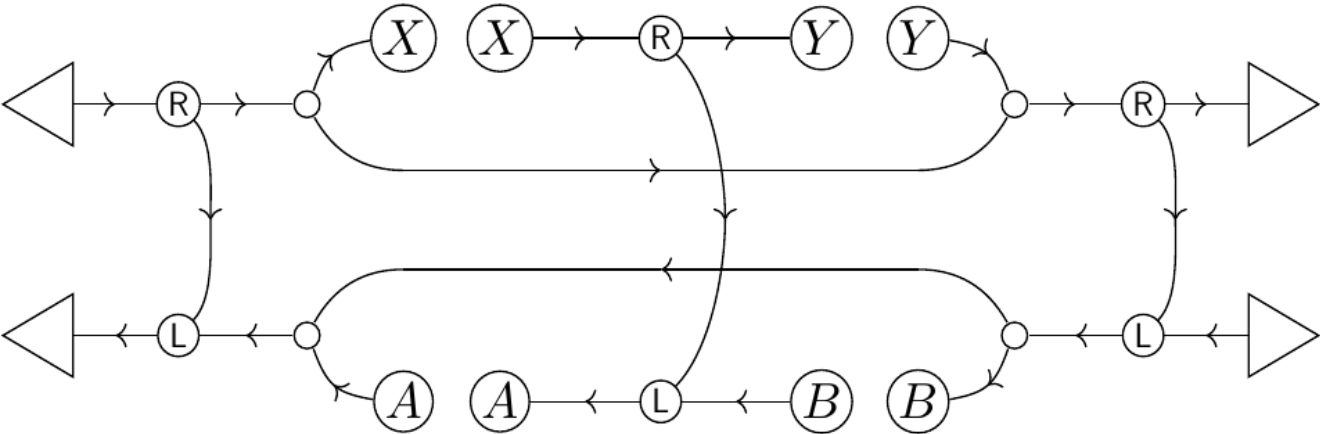
Context:



When monoidal units are terminal, this simplifies to:

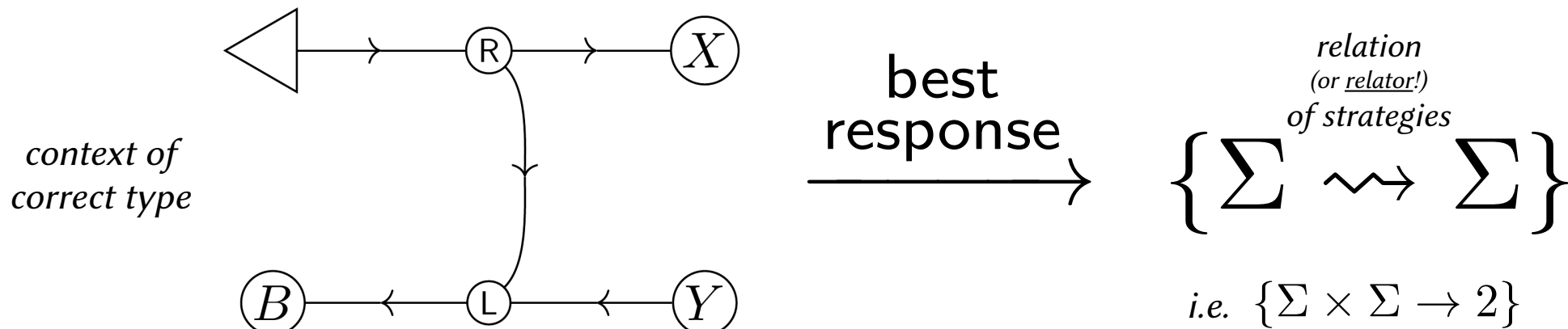
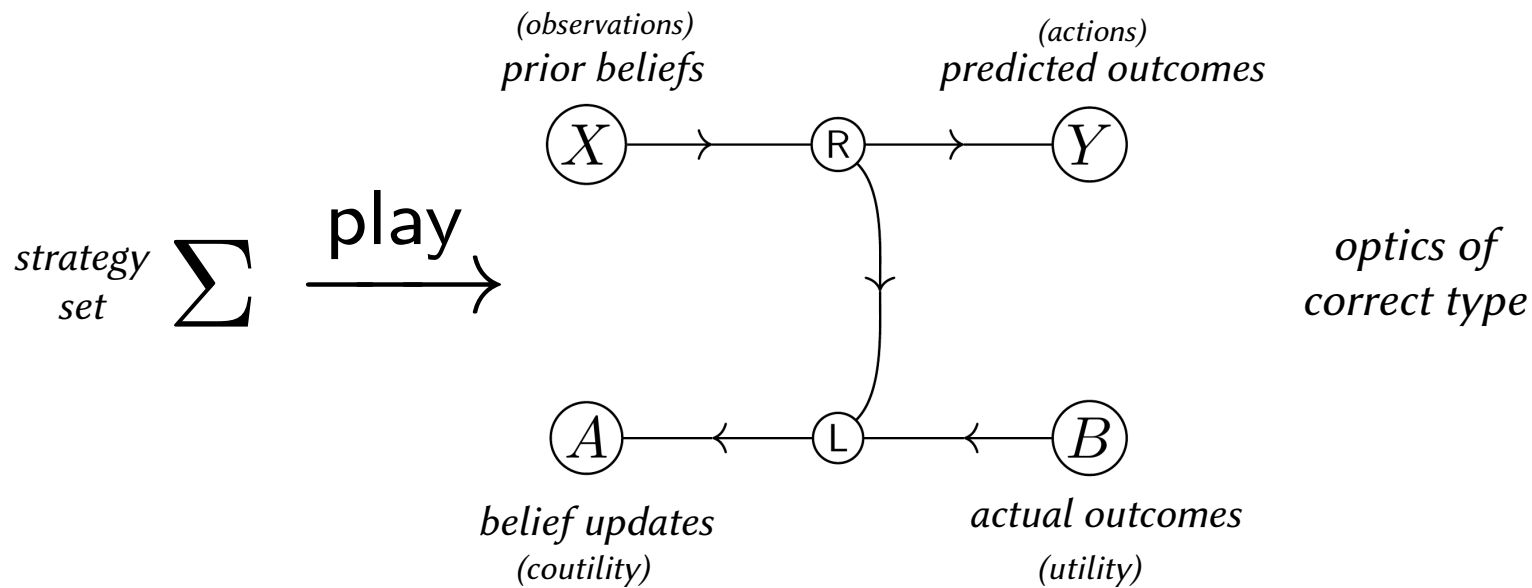


Open system in context is closed



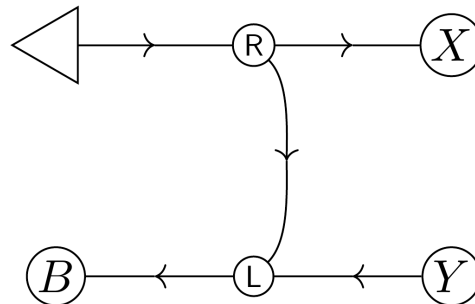
Now: primer on open games ...

A game $G : (X, A) \xrightarrow{\Sigma} (Y, B)$ **constitutes :**



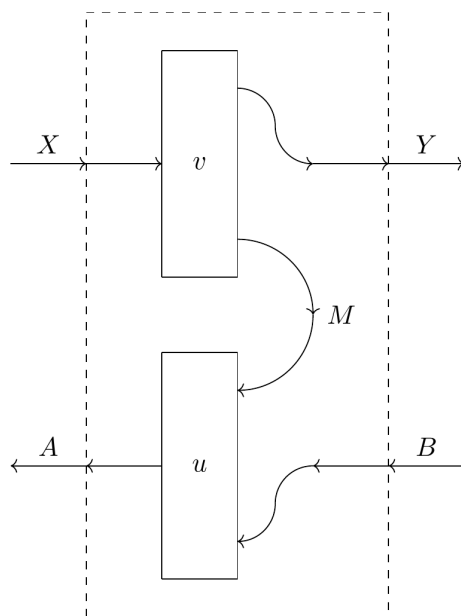
Best response, demystified

suppose context $\langle \pi \mid k \rangle \in$



best response $(\langle \pi \mid k \rangle) =$

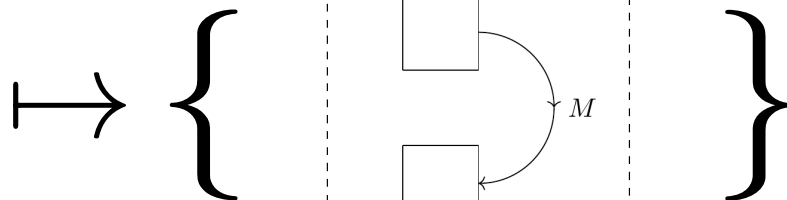
Current strategy



$\langle v \mid u \rangle_\sigma$

$\sigma \in \Sigma$

Better strategies



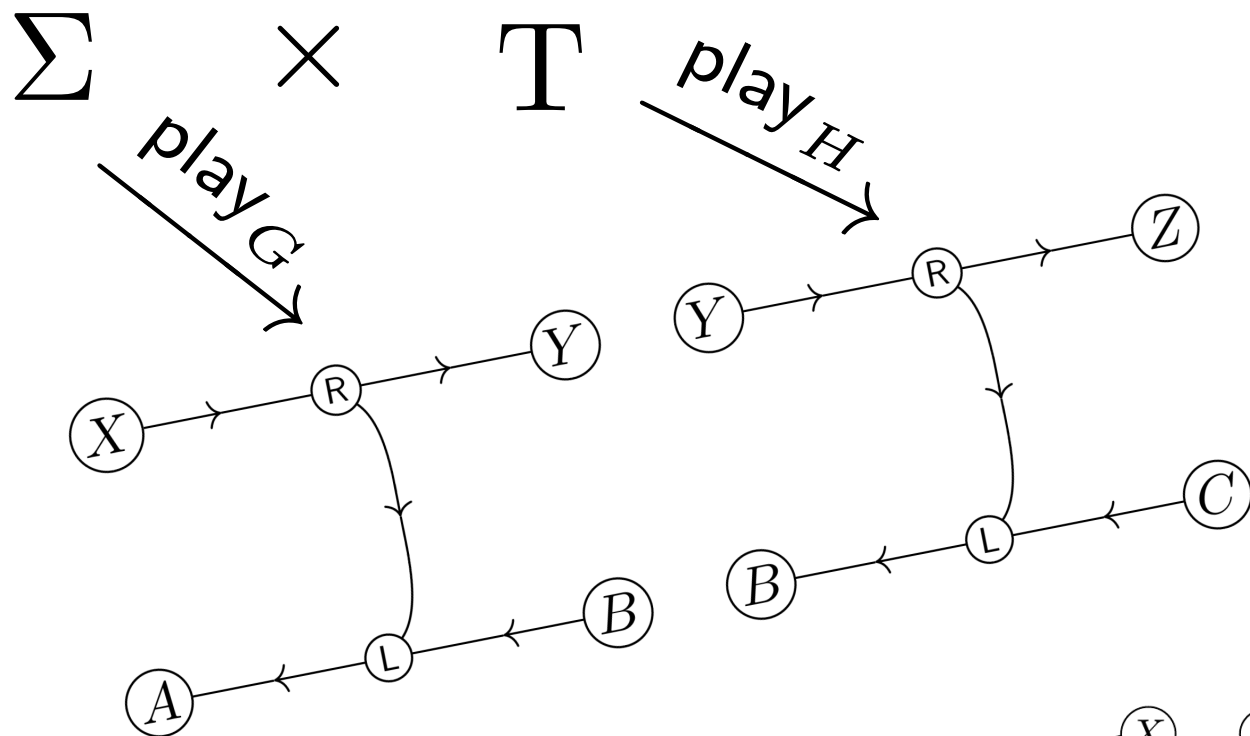
$\langle v' \mid u' \rangle_\tau$

$\{\tau\} \subseteq \Sigma$

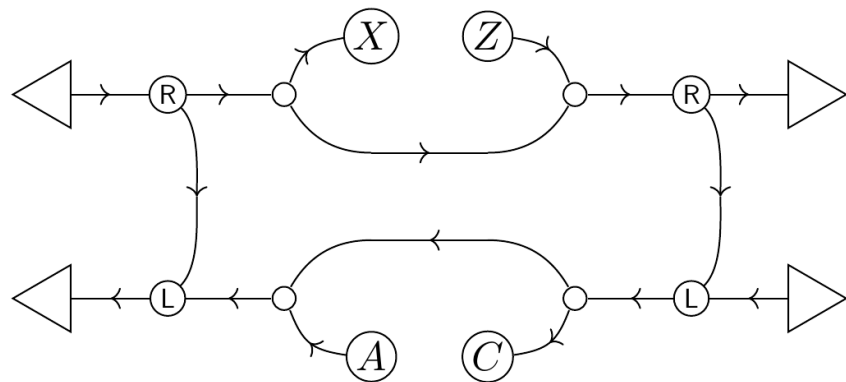
(but how do agents learn to deviate? ...)

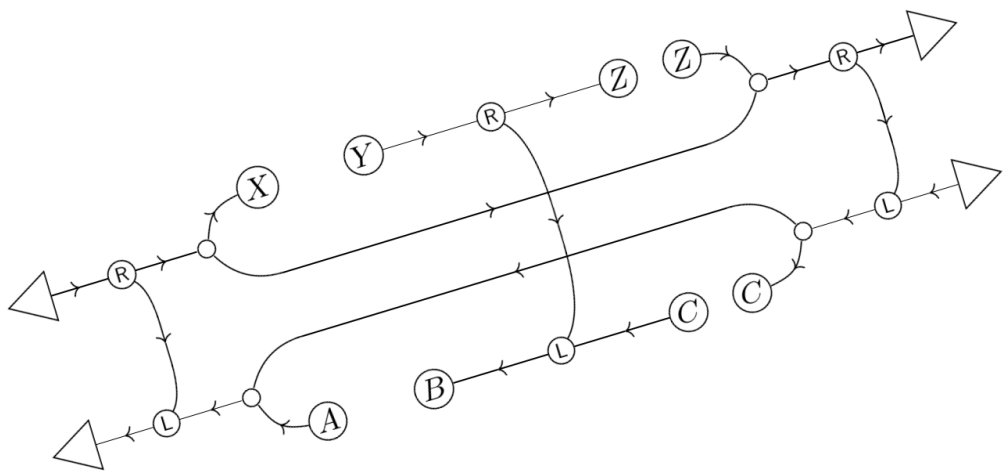
\mapsto

$$H \circ G : (X, A) \xrightarrow{\Sigma} (Y, B) \xrightarrow{T} (Z, C)$$



$$\text{ctx}(H \circ G) =$$





$\xrightarrow{\text{b.r.G}}$

$$\{\Sigma \rightsquigarrow \Sigma\}$$

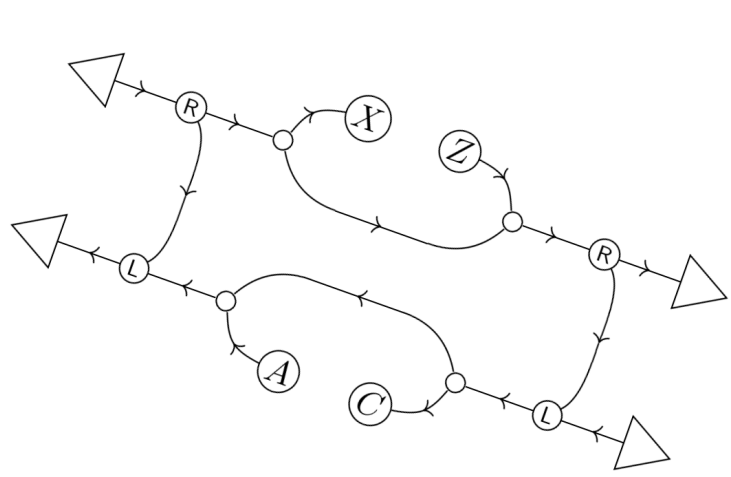
\times

$$\{\mathbf{T} \rightsquigarrow \mathbf{T}\}$$

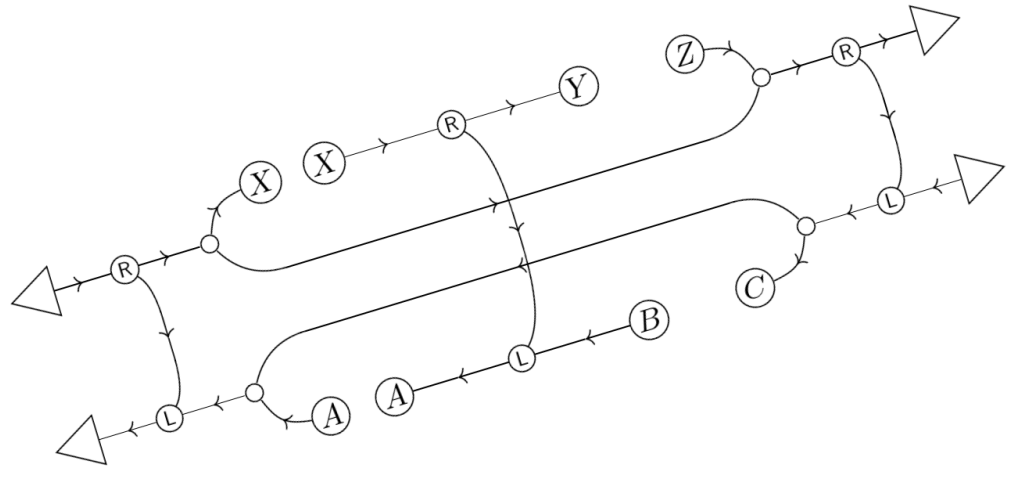
$\uparrow \ominus$

“local contexts”

$\uparrow \text{b.r.H}$



$\rightarrow \ominus$



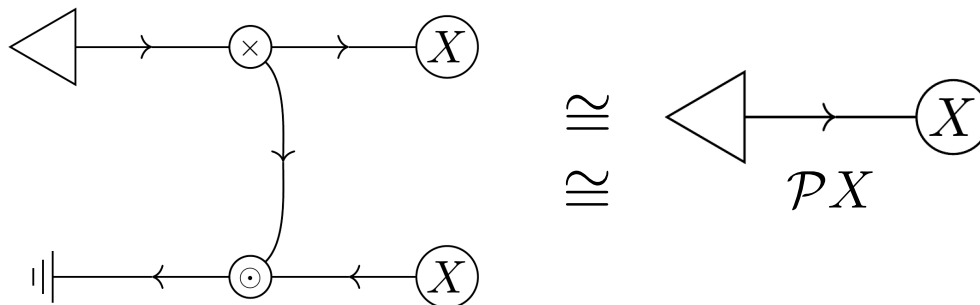
Now we can start to construct some
“atomic” cybernetic systems !

Maximum likelihood game $(I, I) \rightarrow (X, X)$

Aim find state π that 'best explains' the data observed through k

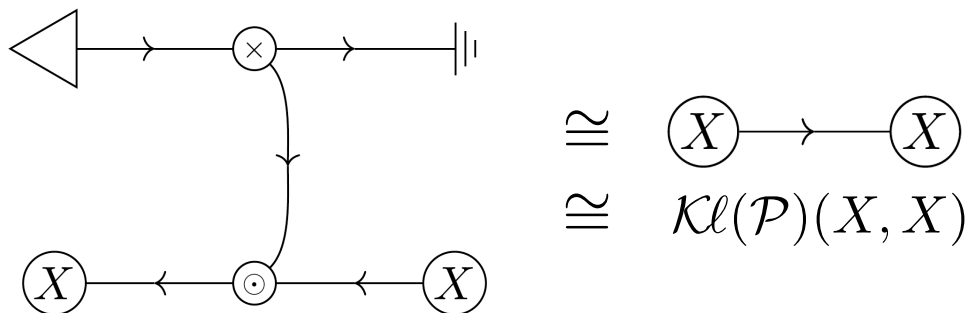
Play

$$\{\langle \rho \mid ! \rangle_\sigma, \langle \pi \mid ! \rangle_\tau, \dots\} \subset$$



Context

$$\langle ! \mid k \rangle \in$$



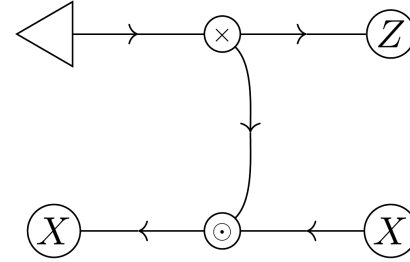
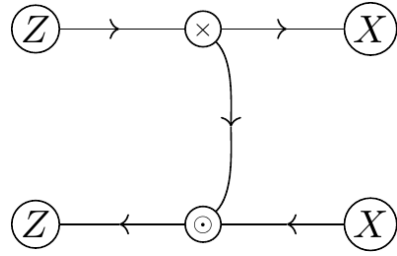
Best response

$$B(\langle ! \mid k \rangle) = \langle \rho \mid ! \rangle_\sigma \mapsto \left\{ \langle \pi \mid ! \rangle_\tau \mid \pi \in \arg \max_{\pi: I \rightarrow X} \mathbb{E}_{k \bullet \pi} [\pi] \right\}$$

Bayesian inference game $(Z, Z) \rightarrow (X, X)$

Fix a channel $c : Z \rightarrow X$

Aim: find state-dependent channel $c' : Z \odot X \rightarrow Z$
closest to exact inversion of c (in the context)



$$B(\langle \pi | k \rangle)$$

$$= \langle d | d' \rangle_\sigma \mapsto \left\{ \langle c | c' \rangle_\tau \mid c' \in \arg \min_{c' : \text{Meas}(\mathcal{P}Z, \mathcal{K}l(\mathcal{P})(X, Z))} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[D_{KL}(c'_\pi(x), c_\pi^\dagger(x)) \right] \right\}$$

$$= \langle d | d' \rangle_\sigma \mapsto \left\{ \langle c | c' \rangle_\tau \mid c' \in \arg \min_{c' : \text{Meas}(\mathcal{P}Z, \mathcal{K}l(\mathcal{P})(X, Z))} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[\mathbb{E}_{z \sim c'_\pi(x)} [-\log p_c(x|z)] + D_{KL}(c'_\pi(x), \pi) \right] \right\}$$

Proposition: Bayesian inference games are closed under composition

Proof: Bayesian updates compose optically

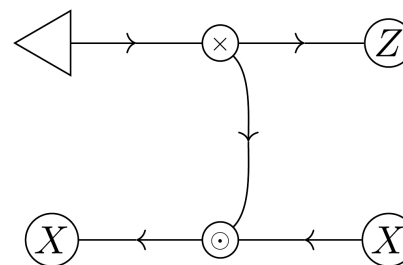
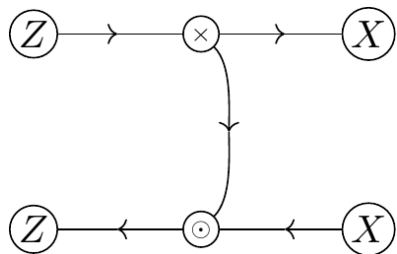
Autoencoder game

$$(Z, Z) \rightarrow (X, X)$$

Fix: “Generative” models: $\Gamma \hookrightarrow \mathcal{Kl}(\mathcal{P})(Z, X)$

“Recognition” models: $\mathsf{P} \hookrightarrow \mathcal{Kl}(\mathcal{P})(X, Z)$

Aim: find pair (c, c') such that $c \bullet \pi$ maximizes the likelihood of data from k , and c' best approximates the exact inverse of c in the context

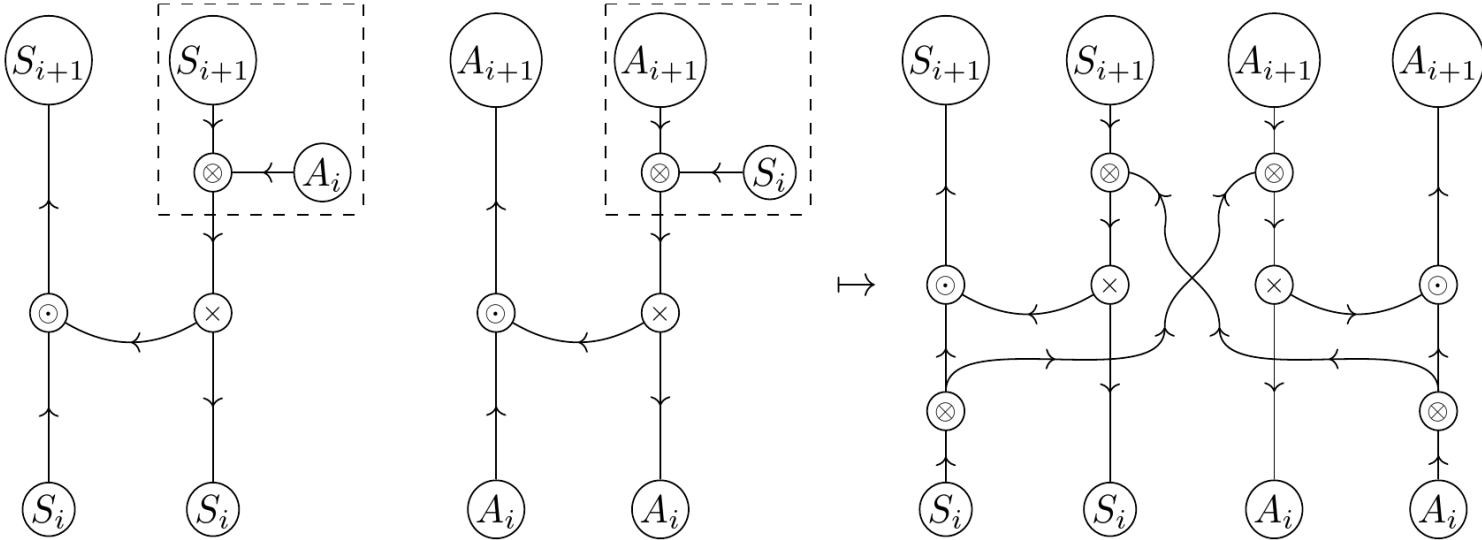


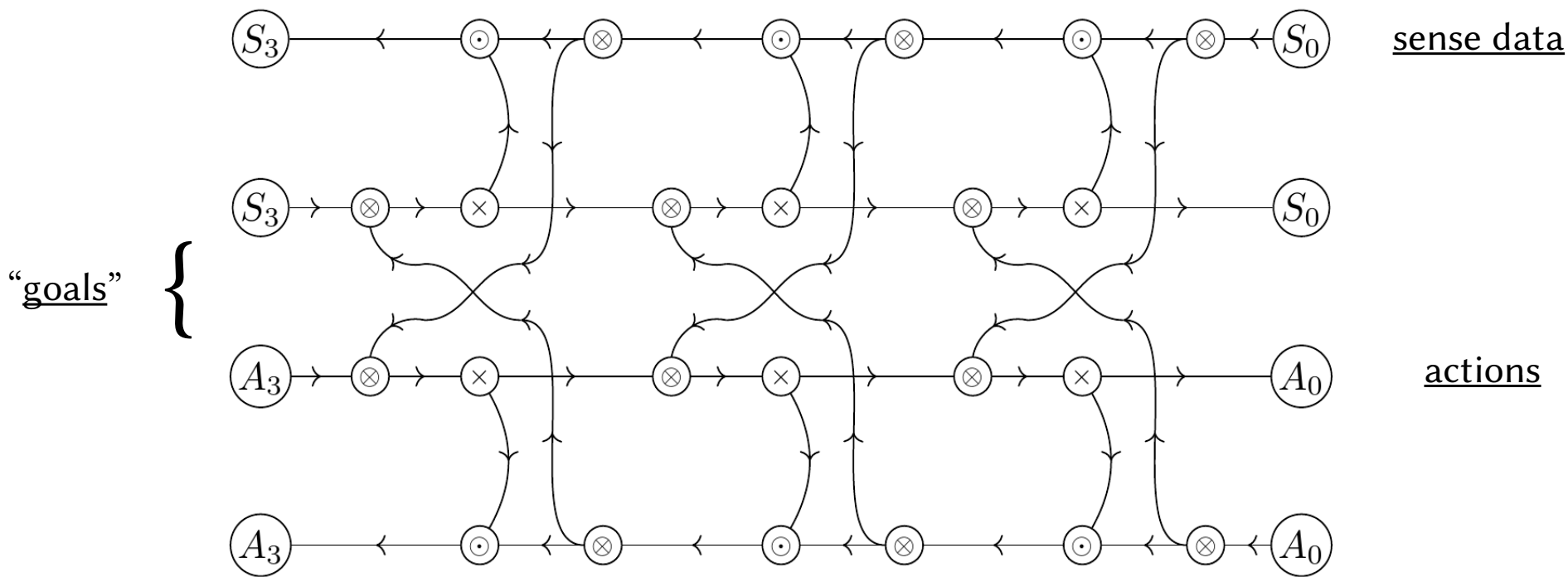
$$B(\langle \pi \mid k \rangle) =$$

$$\langle d \mid d' \rangle_\sigma \mapsto \left\{ \langle c \mid c' \rangle_\tau \mid (c, c') \in \arg \min_{\substack{c \in \Gamma, \\ c' \in \text{Meas}(\mathcal{P}Z, \mathsf{P})}} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[\mathbb{E}_{z \sim c'_\pi(x)} [-\log p_c(x|z)] + D(c'_\pi(x), \pi) \right] \right\}$$

– this objective captures many such models in the ML literature (Knoblauch *et al*, 2019)

“Active inference” game





Optimization games

MLE:

$$B(\langle ! | k \rangle) = \langle \rho | ! \rangle_\sigma \mapsto \left\{ \langle \pi | ! \rangle_\tau \mid \pi \in \arg \max_{\pi: I \rightarrow X} \mathbb{E}_{k \bullet \pi} [\pi] \right\}$$

Inference:

$$\begin{aligned} B(\langle \pi | k \rangle) = \langle d | d' \rangle_\sigma &\mapsto \left\{ \langle c | c' \rangle_\tau \mid c' \in \arg \min_{c': \text{Meas}(\mathcal{P}Z, \mathcal{K}l(\mathcal{P})(X, Z))} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[D_{KL}(c'_\pi(x), c_\pi^\dagger(x)) \right] \right\} \\ &= \langle d | d' \rangle_\sigma \mapsto \left\{ \langle c | c' \rangle_\tau \mid c' \in \arg \min_{c': \text{Meas}(\mathcal{P}Z, \mathcal{K}l(\mathcal{P})(X, Z))} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[\mathbb{E}_{z \sim c'_\pi(x)} [-\log p_c(x|z)] + D_{KL}(c'_\pi(x), \pi) \right] \right\} \end{aligned}$$

Autoencoder:

$$B(\langle \pi | k \rangle) = \langle d | d' \rangle_\sigma \mapsto \left\{ \langle c | c' \rangle_\tau \mid (c, c') \in \arg \min_{\substack{c \in \Gamma, \\ c' \in \text{Meas}(\mathcal{P}Z, \mathcal{P})}} \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[\mathbb{E}_{z \sim c'_\pi(x)} [-\log p_c(x|z)] + D(c'_\pi(x), \pi) \right] \right\}$$

All of the form:

$$B(\langle \pi | k \rangle) = \langle d | d' \rangle_\sigma \mapsto \left\{ \langle c | c' \rangle_\tau \mid (c, c') \in \arg \max_{\varphi_G : \text{ctx} \times \Sigma \rightarrow \mathbb{R}} \varphi_G(\langle \pi | k \rangle, \tau) \right\}$$

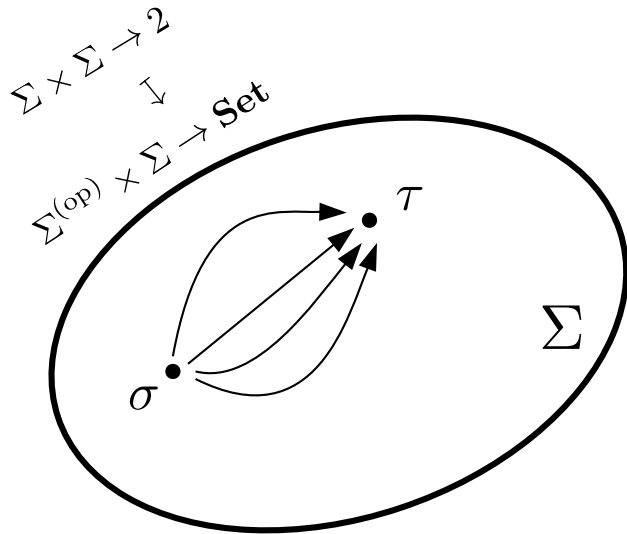
But how to “get better”?..

Note: $\varphi_G^\# : \text{ctx} \rightarrow \Sigma \rightarrow \mathbb{R}$

Given a context, obtain a “fitness landscape” or “potential field” over the strategy space

Can we categorify best-response relations, to make them *proof-relevant*?

Then: strategic deviation (improvement) witnessed by trajectory / process



Can we characterize this process compositionally?

And: don't we act on “story snippets”?

Discrete-time dynamical systems

$$\mathbf{Dyn}_{\mathcal{C}}(A, B) = \sum_{S:\mathcal{C}} \mathbf{Comon}(\mathcal{C})(S, B) \times \mathcal{C}(S \otimes A, S)$$

$$f : A \xrightarrow{S} B = (S, f^{out} : S \rightarrow B, f^{upd} : S \otimes A \rightarrow S)$$

$$\text{id}_A : A \xrightarrow{A} A = (A, \text{id}_A : A \rightarrow A, \pi_2 : A \otimes A \rightarrow A)$$

Composition: “wire” outputs to inputs, using lenses

Dynamical lenses & contexts

Since dynamical systems form a monoidal category, we can construct lenses over them

$$\int^{M:C} \mathbf{Dyn}_C(X, M \otimes Y) \times \mathbf{Dyn}_C(M \otimes B, A) \cong \sum_{P,Q:C} \int^{M:C} \mathcal{C}(P \otimes X, P) \times \mathbf{Comon}(C)(P, M \otimes Y) \times \mathcal{C}(Q \otimes M \otimes B, Q) \times \mathbf{Comon}(C)(Q, A)$$

The diagrammatic equation shows an isomorphism between two expressions. On the left, a lens is represented as a pair of coupled dynamical systems: the top system has objects X and Y with a central node, and the bottom system has objects A and B with a central node, connected by a curved arrow. This is equated to a sum over comonads P and Q of a product of four terms: a context (X to P), a comonad (P to M ⊗ Y), a context (Q ⊗ M ⊗ B to Q), and another comonad (Q to A). The right side of the equation shows these four terms as separate diagrams: a context (X to P), a comonad (P to M ⊗ Y), a context (Q ⊗ M ⊗ B to Q), and a comonad (Q to A).

Dynamical lens: just a pair of (coupled) dynamical systems

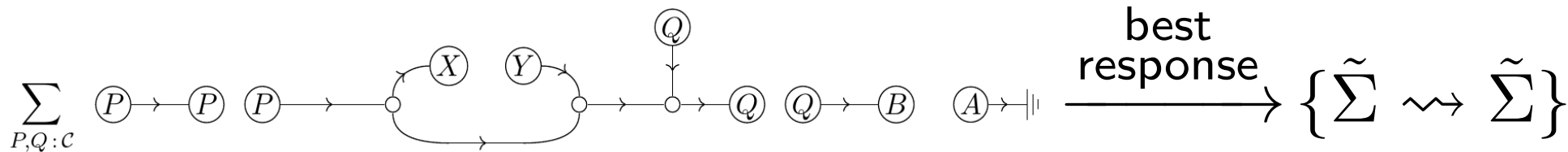
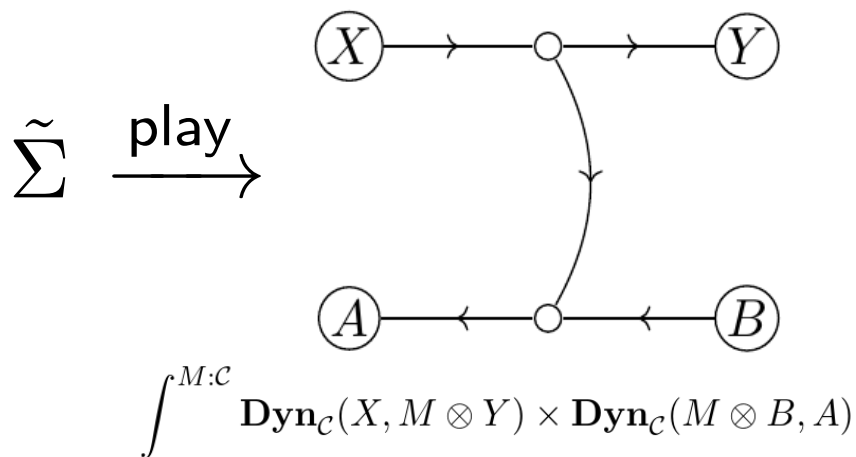
When the monoidal unit is terminal, dynamical contexts are of the type

$$\sum_{P,Q:C} P \rightarrow P \rightarrow P \rightarrow \text{Context}(X, Y) \rightarrow Q \rightarrow Q \rightarrow B \rightarrow A \rightarrow \text{||}$$

The diagrammatic representation shows a sequence of objects and arrows: P → P → P → Context(X, Y) → Q → Q → B → A → ||. The Context(X, Y) is shown as a loop with nodes X and Y. The final arrow points to a vertical bar, representing a terminal object.

Dynamical games

(Just open games over dynamical lenses !)

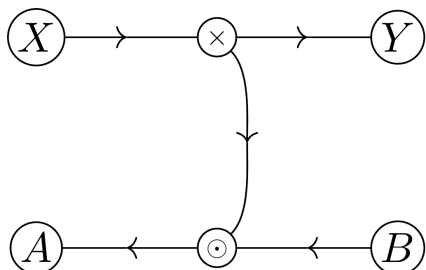


Strategies here can be thought of as possible learning algorithms
 – won't discuss this more today

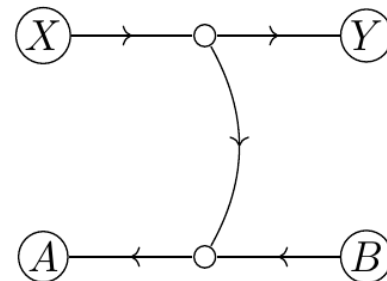
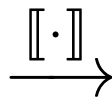
Time to start joining the dots...

Dynamical realisation

On plays:

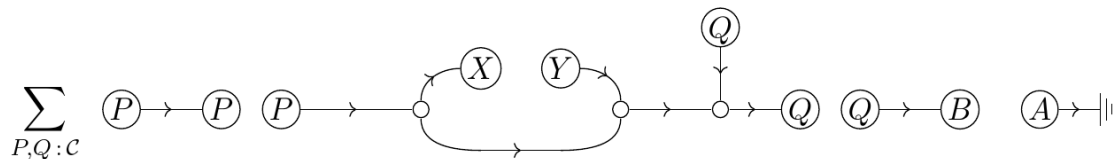
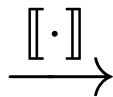
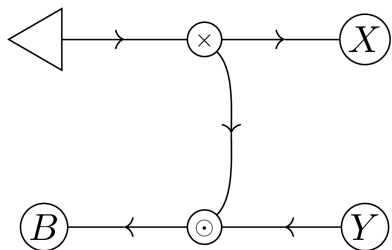


$$\int^{\hat{M}:\hat{c}} \hat{c}(\hat{X}, \hat{M} \times \hat{Y}) \times \check{c}(\hat{M} \odot \check{B}, \check{A})$$



$$\int^{M:c} \mathbf{Dyn}_c(X, M \otimes Y) \times \mathbf{Dyn}_c(M \otimes B, A)$$

On contexts:



NB: Here, we only have “static” contexts,
hence constant realisations

Open cybernetic systems (preliminary definition !)

An **open cybernetic system** G constitutes

- a ('static') optimization game such that
- the fitness function factors through some optimization objective

$$\varphi_G : \text{ctx} \rightarrow \Sigma \xrightarrow{\varphi(\pi, k)} \mathbb{R} \quad \text{e.g.} \quad \varphi_{(\pi, k)}(c, c') = - \mathbb{E}_{x \sim k \bullet c \bullet \pi} \left[D_{KL}(c'_\pi(x), c^\dagger_\pi(x)) \right]$$

- subject to a coherence condition – roughly, that

letting the state space of the closed system $[[\langle \pi \mid k \rangle]] \oplus \text{play}(\tilde{\sigma})$ be S

we can project from the state space to the optimization space $\text{proj} : S \rightarrow \Sigma$

then: \exists fixed point $\zeta^* \in S$ such that $\text{proj}(\zeta^*) \in \arg \max \varphi_{(\pi, k)}$

(Non-stationary contexts? Perhaps: stationary context in a dynamic coordinate system)

Conjecture. Open cybernetic systems form a category

(i.e., fixed point of composite realisation satisfies the cybernetic condition)

Time for some examples ...

Variational autoencoders constitute a category of cybernetic systems

Recall the best-response objective:

(here, using Kullback-Leibler divergence)

$$\arg \min_{\substack{c \in \Gamma, \\ c' \in \text{Meas}(\mathcal{P}Z, \mathcal{P})}} \varphi_{(\pi, k)}(c, c') = \mathbb{E}_{x \sim k \bullet c \bullet \pi} \mathbb{E}_{z \sim c'_\pi(x)} \left[\log p_{c'_\pi(x)}(z|x) - \log p_c(x|z) - \log p_\pi(z) \right]$$

Define parameterized channels:

“generative”

$$\mathbb{R}^n \cong \Gamma \hookrightarrow \text{Meas}(Z, \mathcal{P}X)$$

$$\vartheta : \mathbb{R}^n \mapsto \gamma^{(\vartheta)} : Z \rightarrow \mathcal{P}X$$

“recognition”

$$\mathbb{R}^m \cong \mathcal{P} \hookrightarrow \text{Meas}(X, \mathcal{P}Z)$$

$$\psi : \mathbb{R}^m \mapsto \rho_\pi^{(\psi)} : X \rightarrow \mathcal{P}Z$$

Assume no dependence on “action”: $k = \kappa \bullet !$

$$\text{so } \varphi_{(\pi, k)}(\vartheta, \psi) = \mathbb{E}_{x \sim \kappa} \mathbb{E}_{z \sim \rho_\pi^{(\psi)}(x)} \left[\log p_{\rho_\pi^{(\psi)}(x)}(z|x) - \log p_{\gamma^{(\vartheta)}}(x|z) - \log p_\pi(z) \right]$$

Then, dynamics realizes gradient descent on the objective...

(but what about those expectations..?)

Assume:

$$z \sim \rho_{\pi}^{(\psi)}(x) \iff z = g(\psi, x, r) \quad \begin{array}{l} g \text{ deterministic, differentiable} \\ r \sim \sigma_{\pi}(x) \\ r \perp \psi \end{array}$$

So that:

$$\varphi_{(\pi, k)}(\vartheta, \psi) = \mathbb{E}_{x \sim \kappa} \mathbb{E}_{r \sim \sigma_{\pi}(x)} \left[\log p_{\rho_{\pi}^{(\psi)}(x)}(g(\psi, x, r)|x) - \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) - \log p_{\pi}(g(\psi, x, r)) \right]$$

Then:

$$\begin{aligned} \nabla_{\psi} \varphi_{(\pi, k)}(\vartheta, \psi) &= \nabla_{\psi} \mathbb{E}_{x \sim \kappa} \mathbb{E}_{r \sim \sigma_{\pi}(x)} \left[\log p_{\rho_{\pi}^{(\psi)}(x)}(g(\psi, x, r)|x) - \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) - \log p_{\pi}(g(\psi, x, r)) \right] \\ &= \mathbb{E}_{x \sim \kappa} \mathbb{E}_{r \sim \sigma_{\pi}(x)} \left[\nabla_{\psi} \log p_{\rho_{\pi}^{(\psi)}(x)}(g(\psi, x, r)|x) - \nabla_{\psi} \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) - \nabla_{\psi} \log p_{\pi}(g(\psi, x, r)) \right] \end{aligned}$$

$$\begin{aligned} \nabla_{\vartheta} \varphi_{(\pi, k)}(\vartheta, \psi) &= \nabla_{\vartheta} \mathbb{E}_{x \sim \kappa} \mathbb{E}_{r \sim \sigma_{\pi}(x)} \left[\log p_{\rho_{\pi}^{(\psi)}(x)}(g(\psi, x, r)|x) - \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) - \log p_{\pi}(g(\psi, x, r)) \right] \\ &= \mathbb{E}_{x \sim \kappa} \mathbb{E}_{r \sim \sigma_{\pi}(x)} \left[-\nabla_{\vartheta} \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) \right] \end{aligned}$$

Sketch of the dynamical system

Input $\pi : \mathcal{P}Z; x \sim \kappa : \mathcal{P}X$

Update $\vartheta(t+1) = \vartheta(t) - \mathbb{E}_{r \sim \sigma_\pi(x)} [\nabla_{\vartheta} \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r))]$

$\psi(t+1) = \psi(t) - \mathbb{E}_{r \sim \sigma_\pi(x)} [\nabla_{\psi} \log p_{\rho_\pi^{(\psi)}}(x)(g(\psi, x, r)|x)$
 $\quad \quad \quad - \nabla_{\psi} \log p_{\gamma^{(\vartheta)}}(x|g(\psi, x, r)) - \nabla_{\psi} \log p_\pi(g(\psi, x, r))]$

NB: *trajectories over strategy space; fixed point at best response*

Output $x' \sim \gamma^{(\vartheta)} \bullet \pi : \mathcal{P}X; z' \sim \rho_\pi^{(\psi)}(x) : \mathcal{P}Z$

‘Theorem’: VAE games form a category of open cybernetic systems (by BUCO)

Corollary: “*deep active inference*” agents are cybernetic systems realizing active inference games

Friston's "free energy framework" defines a category of cybernetic systems

$$\begin{aligned} \arg \min_{\substack{\gamma \in \Gamma, \\ \rho \in \text{Meas}(\mathcal{P}Z, \mathbb{P})}} \varphi_{(\pi, k)}(\gamma, \rho) &= \mathbb{E}_{x \sim k \bullet \gamma \bullet \pi} \left[\mathbb{E}_{z \sim \rho_{\pi}(x)} \left[\log p_{\rho_{\pi}(x)}(z|x) - \log p_{\gamma}(x|z) - \log p_{\pi}(z) \right] \right] \\ &= \mathbb{E}_{x \sim k \bullet \gamma \bullet \pi} \left[\mathbb{H}[\rho_{\pi}(x)] + \mathbb{E}_{z \sim \rho_{\pi}(x)} [E(z, x)] \right] \\ &\quad \text{where } E(z, x) = -\log p_{\gamma}(x|z) - \log p_{\pi}(z) \end{aligned}$$

This time the realisation won't just be glorified functions, with dynamics on the parameters – rather, we will have dynamics directly on the system's beliefs (as well as param.s)

Key assumption: all spaces Euclidean, and all states Gaussian

So each $\gamma(z) : \mathcal{P}X$ and $\rho_{\pi}(x) : \mathcal{P}Z$ is determined by a pair of vectors

$$\begin{aligned} \gamma(z) &\leftrightarrow (\mu_{\gamma}(z), \Sigma_{\gamma}(z)) : \mathbb{R}^{|X|} \times \mathbb{R}^{|X|^2} \\ \rho_{\pi}(x) &\leftrightarrow (\mu_{\rho_{\pi}}(x), \Sigma_{\rho_{\pi}}(x)) : \mathbb{R}^{|Z|} \times \mathbb{R}^{|Z|^2} \end{aligned}$$

We define dynamical systems directly on these vectors

Assume:

$$k = \kappa \bullet !$$

(means: no dependence on ‘action’
on the timescale of the dynamics)

each $\rho_\pi(x)$ is ‘tightly peaked’

(means: density function well approximated by
2nd-order Taylor expansion around mean)

better: **least action**

→ minimize time-integral of free-energy

→ 2nd order ODEs

(so, neater in continuous time)

*so the ‘fitness function’ here is really
something like an “open Lagrangian”*

So that:

$$\nabla_{\mu_{\rho_\pi}} \varphi(\pi, k)(\gamma, \rho) = \mathbb{E}_{x \sim \kappa} [\nabla_{\mu_{\rho_\pi}} E(\mu_{\rho_\pi}, x)]$$

$$\nabla_{\mu_{\rho_\pi}} E(\mu_{\rho_\pi}, x) = -\nabla_z \mu_\gamma(\mu_{\rho_\pi})^T \Sigma_\gamma^{-1} \epsilon_\gamma + \Sigma_\pi^{-1} \epsilon_\pi \quad (\text{since Gaussian})$$

where $\epsilon_\gamma = x - \mu_\gamma(\mu_{\rho_\pi})$ and $\epsilon_\pi = \mu_{\rho_\pi} - \mu_\pi$

Then:

Suppose $\mu_\gamma : \mathbb{R}^{|Z|} \rightarrow \mathbb{R}^{|X|}$ is ‘neurally computable’.

Then so is the dynamical system $\mu_{\rho_\pi}(t+1) = \mu_{\rho_\pi}(t) - \nabla_{\mu_{\rho_\pi}} E(\mu_{\rho_\pi}, x)$

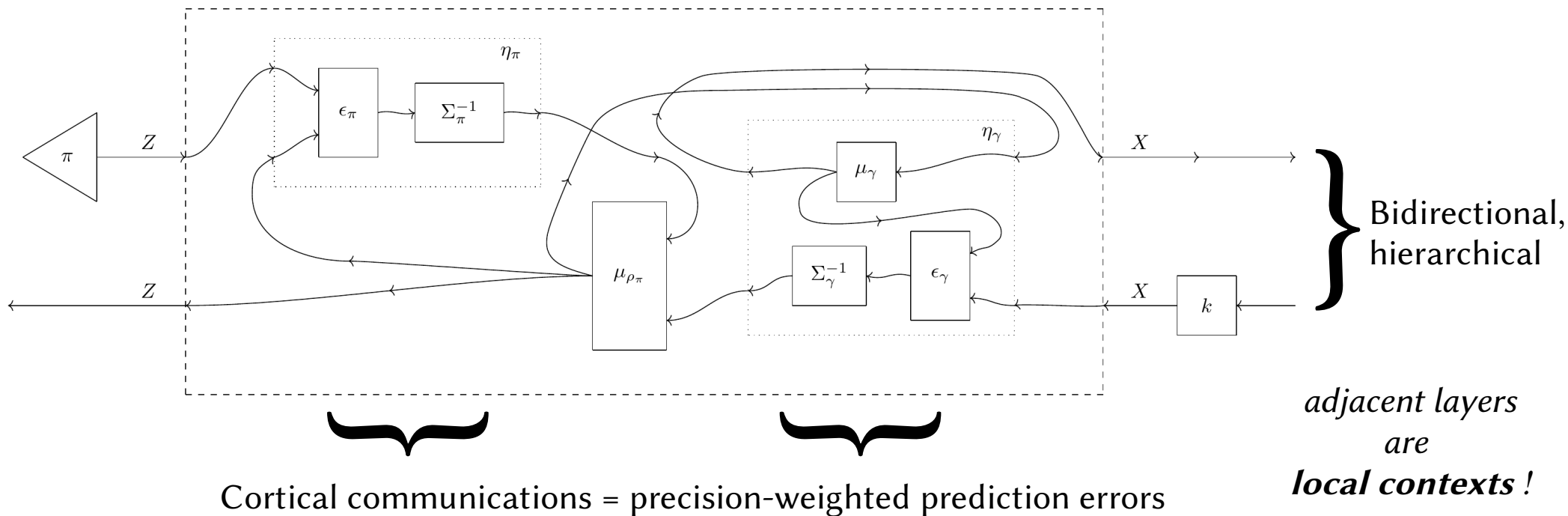
(being a composite of linear and ‘neural’ maps)

Sketch of the dynamical system

Input $\pi : \mathcal{P}Z; x \sim \kappa : \mathcal{P}X$

Update $\mu_{\rho_{\pi}}(t+1) = \mu_{\rho_{\pi}}(t) + \nabla_z \mu_{\gamma}(\mu_{\rho_{\pi}})^T \Sigma_{\gamma}^{-1} \epsilon_{\gamma} - \Sigma_{\pi}^{-1} \epsilon_{\pi}$

Output $z' \sim \rho_{\pi}(x) : \mathcal{P}Z$



Summary

1. Showed that *Bayesian updates compose optically*
2. Characterized *inference problems as open games over Bayesian lenses*
3. *Cybernetic systems* have dynamics governed by best-response objective
4. Example: abstract explanation for the *gross structure of cortical circuits*

On-going work and open problems

- Continuous dynamics:
 - more intricate formally, but neater conceptually
(nice links to classical mechanics!)
- “Truly dynamical” games:
 - trajectories on the interfaces
 - non-stationary contexts
(ie, dynamics in the base as well as the fibres)
 - nested systems (as in evolution)
- Interacting cybernetic systems:
 - players playing game-theoretic games?
 - link with iterated games?
 - reinforcement learning?

& hopefully end up with a more elegant category of cybernetic systems ..!

Thanks!